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# FUNCTIONAL CALCULUS IN THE ALGEBRA OF GENERALIZED HYPERFUNCTIONS ON THE CIRCLE AND APPLICATIONS

VINCENT VALMORIN

ABSTRACT. This paper deals with a functional calculus in the algebra  $\mathcal{H}(\mathbb{T})$  of generalized hyperfunctions on the circle. This is done introducing an inductive family of complete ultrametric sub-algebras. Power series expansions of classical functions such as the exponential, logarithm or power ones are considered. As an application, a nonlinear Cauchy problem involving fractional powers of generalized hyperfunctions is studied. <sup>1</sup>

## 1. INTRODUCTION

This paper aims to provide the algebra  $\mathcal{H}(\mathbb{T})$  of generalized periodic hyperfunctions with a functional calculus based on elementary functions but with high nonlinearities. This becomes essential when dealing with nonlinear differential or functional equations. The algebra  $\mathcal{H}(\mathbb{T})$  was introduced in [18] and its ultrametric topology in [17]. Earlier a first version was given in [16] involving real  $2\pi$ -periodic smooth functions. Later on, using the framework of sequence spaces, see [5, 6, 7], the author and his collaborators have given a general topological description of various algebras of generalized functions including  $\mathcal{H}(\mathbb{T})$ . This description involves projective and inductive limits of locally convex spaces. It is well-known that contrary to projective limits inductive limits have a bad inheritance of completeness. Moreover it has never been proved that  $\mathcal{H}(\mathbb{T})$  was a complete space or not. Then to overcome such a situation, we introduce an inductive family  $(\mathcal{H}^r(\mathbb{T}))_{r>1}$  of complete ultrametric differential algebras in such a way that  $\mathcal{H}(\mathbb{T}) = \text{ind} \lim_{r \rightarrow 1} \mathcal{H}^r(\mathbb{T})$  in a set theoretical sense. Therefore it is shown that the induced inductive limit topology on  $\mathcal{H}(\mathbb{T})$  is finer than its original one. Recall that the initial ultrametric topology of  $\mathcal{H}(\mathbb{T})$  is given by  $\omega(f, g) = \nu(f - g)$  where  $\nu$  is the so-called *indicator* introduced in [17]. We point out that  $\nu(\lambda) = 1$  for all nonzero complex number  $\lambda$ . It follows that  $(\mathcal{H}(\mathbb{T}), \omega)$  is

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not a classical topological algebra over the field  $\mathbb{C}$  of complex numbers since the multiplication by a nonzero complex number is not continuous. Nevertheless  $\nu$  induces a complete ultrametric structure on the associated algebra  $\mathcal{C}$  of generalized complex numbers over which  $\mathcal{H}(\mathbb{T})$  is a classical topological algebra but it should be noticed that  $\mathcal{C}$  is not a field nor a domain. In the same way the topology of each algebra  $\mathcal{H}^r(\mathbb{T})$  is defined by an indicator  $\nu_r$ . Endowed with the ultrametric  $\omega_r$  such that  $\omega(f, g) = \nu_r(f - g)$ ,  $\mathcal{H}^r(\mathbb{T})$  is a complete algebras.

For the basic theory of Colombeau generalized functions, we refer to [3, 4, 9, 10, 13, 14]. Topological results on generalized functions can be found in [7, 13]. For the theory of periodic hyperfunctions we refer to [1, 2, 11, 12]. We notice that a product of hyperfunctions on the circle is defined in [8] in a more classical setting. This is done using conditions on Fourier coefficients. In the setting of Colombeau algebras, the first work on product of hyperfunctions has been done in [15].

The paper is organized as follows. Section 2 presents some preliminaries on the algebra  $\mathcal{H}(\mathbb{T})$  which are useful for the sequel. References for this section are mainly [12, 17, 18]. In Section 3 we define and study the algebras  $\mathcal{H}^r(\mathbb{T})$ ,  $r > 1$ . They are proved to be complete and the same is done for the algebra  $\mathcal{C}$  of generalized numbers endowed with the ultrametric  $\omega$ . In Section 4 we give necessary of sufficient conditions for the existence of  $\log(h)$ ,  $\exp(h)$  or  $h^s$ ,  $s \in \mathbb{R}$  where  $h \in \mathcal{H}(\mathbb{T})$ . Section 4 is concerned with the resolution of a nonlinear Cauchy problem in  $\mathcal{H}(\mathbb{T})$  where the introduced functional calculus is used.

## 2. PRELIMINARIES

### 2.1. The algebra of generalized hyperfunctions on the circle.

For this section we refer mainly to [12, 17, 18]. For  $r > 1$  let

$$C_r = \{z \in \mathbb{C}, 1/r < |z| < r\} \text{ and } \|f\|_r = \sup_{z \in C_r} |f(z)|$$

for every bounded continuous function  $f$  defined in  $C_r$ . We denote by  $\mathcal{O}_r$  the Banach space of bounded holomorphic functions in  $C_r$  endowed with the norm  $\|\cdot\|_r$ . Then, the topological space of real analytic functions on the unit circle  $\mathbb{T}$  is

$$\mathcal{A}(\mathbb{T}) = \text{ind } \lim_{r \rightarrow 1} \mathcal{O}_r.$$

If  $\mathcal{X}(\mathbb{T})$  is the set of sequences of functions  $(f_n)_n$  with  $f_n \in \mathcal{A}(\mathbb{T})$ , we denote by  $\mathcal{X}_\epsilon(\mathbb{T})$  the subset of  $\mathcal{X}(\mathbb{T})$  whose elements  $(f_n)_n$  satisfy:

$$\exists a > 0, \exists \eta \in \mathbb{N}, \exists r > 1, f_n \in \mathcal{O}_r, \|f_n\|_r \leq a^n, n > \eta.$$

We denote by  $\mathcal{N}_e(\mathbb{T})$  the subset of  $\mathcal{X}_e(\mathbb{T})$  constituted of elements  $(f_n)_n$  satisfying:

$$\forall b \in (0, 1), \exists \eta \in \mathbb{N}, \exists r > 1, f_n \in \mathcal{O}_r, \|f_n\|_r \leq b^n, n > \eta.$$

Clearly  $\mathcal{X}_e(\mathbb{T})$  is an algebra for usual termwise operations and  $\mathcal{N}_e(\mathbb{T})$  is an ideal of  $\mathcal{X}_e(\mathbb{T})$ .

**Proposition 2.1.** [18, Proposition 3.1] *If  $(f_n)_n \in \mathcal{X}(\mathbb{T})$ , then:*

(i)  $(f_n)_n \in \mathcal{X}_e(\mathbb{T})$  if and only if

$$\exists a > 0, \exists \eta \in \mathbb{N}, \exists r > 1, |\widehat{f_n}(k)| \leq a^n r^{-|k|}, n > \eta, k \in \mathbb{Z}.$$

(ii)  $(f_n)_n \in \mathcal{N}_e(\mathbb{T})$  if and only if

$$\forall b \in (0, 1), \exists \eta \in \mathbb{N}, \exists r > 1, |\widehat{f_n}(k)| \leq b^n r^{-|k|}, n > \eta, k \in \mathbb{Z}.$$

The algebra of generalized hyperfunctions on  $\mathbb{T}$  is the factor algebra

$$\mathcal{H}(\mathbb{T}) = \mathcal{X}_e(\mathbb{T})/\mathcal{N}_e(\mathbb{T})$$

The class of  $(f_n)_n$  in  $\mathcal{H}(\mathbb{T})$  will be denoted by  $\text{cl}(f_n)$ .

*Embedding of  $\mathcal{B}(\mathbb{T})$  and  $\mathcal{A}(\mathbb{T})$  in  $\mathcal{H}(\mathbb{T})$ .* The space  $\mathcal{B}(\mathbb{T})$  of periodic hyperfunctions is the topological dual of  $\mathcal{A}(\mathbb{T})$ . For  $n \in \mathbb{N}$  we set

$$\varphi_n(z) = \sum_{|k| \leq n} z^k.$$

Then we have  $\varphi_n * \varphi_n = \varphi_n$  and  $\lim_{n \rightarrow \infty} \varphi_n = \delta$  in  $\mathcal{B}(\mathbb{T})$  where  $\delta$  is the periodic Dirac distribution. If  $H \in \mathcal{B}(\mathbb{T})$ , then  $(H * \varphi_n)(z) = \sum_{|k| \leq n} \widehat{H}(k) z^k$  and  $\lim_{n \rightarrow \infty} H * \varphi_n = H$  in  $\mathcal{B}(\mathbb{T})$ . Moreover, the maps  $\mathbf{i} : \mathcal{B}(\mathbb{T}) \rightarrow \mathcal{X}_e(\mathbb{T})$  defined by  $\mathbf{i}(H) = (H * \varphi_n)_n$  and  $\mathbf{i}_0 : \mathcal{A}(\mathbb{T}) \rightarrow \mathcal{X}_e(\mathbb{T})$  defined by  $\mathbf{i}_0(f) = (f_n)_n$  with  $f_n = f$ , satisfy the following:

- (i)  $\mathbf{i}$  and  $\mathbf{i}_0$  are linear embeddings;
- (ii)  $\mathbf{i}_0$  is a morphism of algebras.

We denote by  $\partial_\theta$  be the differential operator defined for  $f \in \mathcal{O}_r$ , by

$$\partial_\theta f = iz \frac{df}{dz}$$

where  $z \in C_r$ . It follows that for every  $k \in \mathbb{Z}$ ,

$$\widehat{(\partial_\theta f)}(k) = ik \widehat{f}(k).$$

Henceforth,  $\mathcal{H}(\mathbb{T})$  is endowed with two structures of differential algebra defined by

$$\frac{df}{dz} = \text{cl} \left( \frac{df_n}{dz} \right) \text{ and } \partial_\theta f = \text{cl}(\partial_\theta f_n)$$

where  $f \in \mathcal{H}(\mathbb{T})$  and  $(f_n)_n$  is any representative of  $f$ . Passing to the quotient spaces we get a linear embedding  $\bar{\mathbf{i}}$  and an injective morphism of algebras  $\bar{\mathbf{i}}_0$  such that  $\bar{\mathbf{i}}|_{\mathcal{A}(\mathbb{T})} \approx \bar{\mathbf{i}}_0$ . For any  $H \in \mathcal{B}(\mathbb{T})$  one has

$$\bar{\mathbf{i}}\left(\frac{dH}{dz}\right) = \frac{d}{dz}(\bar{\mathbf{i}}(H)) \quad \text{and} \quad \bar{\mathbf{i}}(\partial_\theta H) = \partial_\theta(\bar{\mathbf{i}}(H)).$$

## 2.2. The algebra of generalized numbers of exponential type.

Let  $\mathcal{C}_e$  be the algebra of complex valued sequences  $(z_n)_{n \geq 1}$  such that:

$$\exists a > 0, \exists \eta \in \mathbb{N}^*, \forall n \in E_\eta, |z_n| \leq a^n.$$

Elements of  $\mathcal{C}_e$  are said to be of exponential growth. In the same way, we define  $\mathcal{I}_e$  as the set of elements  $(z_n)_n \in \mathcal{C}_e$  for which

$$\forall b \in (0, 1), \exists \eta \in \mathbb{N}^*, \forall n \in E_\eta, |z_n| \leq b^n.$$

The elements of  $\mathcal{I}_e$  are said to be of exponential decrease. It may be seen that  $\mathcal{C}_e$  is a subalgebra of  $\mathcal{C}$  and that  $\mathcal{I}_e$  is an ideal of  $\mathcal{C}_e$ .

**Definition 2.1.** *The algebra of complex generalized numbers of exponential type, is the quotient algebra  $\mathcal{C} = \mathcal{C}_e/\mathcal{I}_e$ .*

The complex number  $z$  is identified with a generalized number  $\text{cl}(z_n)$  where  $z_n = z$  for all  $n$ . We denote by  $\tilde{\mathbb{T}}$  the subalgebra of  $\mathcal{C}$  constituted of elements  $z$  with a representative in  $\mathbb{T}^{\mathbb{N}^*}$ .

**Definition 2.2.** [18, Definition 3.3] *Let  $f \in \mathcal{H}(\mathbb{T})$  and  $z \in \tilde{\mathbb{T}}$ . The value  $f(z)$  of  $f$  at  $z$  is the generalized number  $f(z) = \text{cl}(f_n(z_n))$  where  $f = \text{cl}(f_n)$  and  $z = \text{cl}(z_n)$  with  $(z_n)_n \in \mathbb{T}^{\mathbb{N}^*}$ .*

### 2.2.1. Fourier coefficients of a generalized hyperfunction.

**Definition 2.3.** *The Fourier coefficient of rank  $k \in \mathbb{Z}$  of the generalized hyperfunction  $f$  is the generalized number*

$$\hat{f}(k) = \text{cl}\left(\frac{1}{2i\pi} \int_{|z|=1} f_n(z) z^{-k-1} dz\right)$$

where  $(f_n)_n$  is an arbitrary representative of  $f$ .

The Fourier coefficients do not depend on the chosen representative and we have the following:

**Proposition 2.2.** [18, Proposition 3.8] *If  $f \in \mathcal{H}(\mathbb{T})$ , then:*

- (i) *There exists  $F \in \mathcal{H}(\mathbb{T})$  such that  $\partial_\theta F = f$  if and only if  $\hat{f}(0) = 0$ .*
- (ii) *There exists  $F \in \mathcal{H}(\mathbb{T})$  such that  $\frac{dF}{dz} = f$  if and only if  $\hat{f}(-1) = 0$ .*

**2.3. Invertibility.** We denote by  $\mathcal{C}^*$  the subset of invertible elements in  $\mathcal{C}$ . It follows from [18, Theorem 3.9], that  $z \in \mathcal{C}^*$  if and only if  $z$  admits a representative  $(z_n)_n$  such that

$$\exists b \in (0, 1), \exists \eta \in \mathbb{N}^*, \forall n > \eta, |z_n| \geq b^n.$$

Let  $\mathcal{H}^*(\mathbb{T})$  denote the subset of invertible elements of  $\mathcal{H}(\mathbb{T})$ . From [18, Theorem 3.10], we know that  $f \in \mathcal{H}^*(\mathbb{T})$  if and only if it admits a representative  $(f_n)_n$  for which there is  $r > 1$  such that  $f_n \in \mathcal{O}_r$  and:

$$\exists b \in (0, 1), \exists \eta \in \mathbb{N}^*, \forall n > \eta, \inf_{z \in \mathcal{C}_r} |f_n(z)| \geq b^n.$$

This means that the generalized number  $\text{cl}(\inf_{z \in \mathcal{C}_r} |f_n(z)|)$  is invertible. Moreover this condition does not depend on the chosen representative.

#### 2.4. The topological structure of $\mathcal{H}(\mathbb{T})$ .

**Definition 2.4.** [17, Definition 3.1] *The indicator of  $f \in \mathcal{H}(\mathbb{T})$  is:*

$$\nu(f) = \lim_{r \rightarrow 1} \left( \limsup_{n \rightarrow +\infty} \|f_n\|_r^{1/n} \right) \quad (1)$$

where  $(f_n)_n$  is an arbitrary representative of  $f$ .

It is shown (c.f. [17, Proposition 3.6]) that  $\nu(f)$  is also given by

$$\nu(f) = \lim_{r \rightarrow 1} \left\{ \limsup_{n \rightarrow +\infty} \left[ \sup_{k \in \mathbb{Z}} (r^{|k|} |\hat{f}_n(k)|) \right]^{1/n} \right\}. \quad (2)$$

Then we have:

**Proposition 2.3.** [17, Proposition 3.1] *Let  $f, g \in \mathcal{H}(\mathbb{T})$  and  $\lambda \in \mathbb{C}^*$ . Then the following holds.*

- (i)  $\nu(f) \geq 0$  and  $\nu(f) = 0$  iff  $f = 0$ ;
- (ii)  $\nu(\lambda f) = \nu(f)$ ;
- (iii)  $\nu(fg) \leq \nu(f)\nu(g)$ ;
- (iv)  $\nu(f + g) \leq \sup(\nu(f), \nu(g))$ ;
- (v)  $|\nu(f) - \nu(g)| \leq \nu(f - g)$ ;
- (vi)  $\nu(f^{-1}) \geq (\nu(f))^{-1}$  if  $f \in \mathcal{H}^*(\mathbb{T})$ .

Setting

$$\omega(f, g) = \nu(f - g), \quad f, g \in \mathcal{H}(\mathbb{T}),$$

we define a translation invariant ultrametric distance on  $\mathcal{H}(\mathbb{T})$ . Moreover addition and multiplication are continuous mappings from  $\mathcal{H}(\mathbb{T})^2$  to  $\mathcal{H}(\mathbb{T})$  where  $\mathcal{H}(\mathbb{T})^2$  is endowed with the ultrametric distance  $D$  defined by

$$D[(f, g), (u, v)] = \sup(\omega(f, u), \omega(g, v)).$$

The inverse function is a continuous operator of  $\mathcal{H}^*(\mathbb{T})$  (see [17, Proposition 3.4 and Corollary 3.2]). We end this section by the following result.

**Proposition 2.4.** [17, Corollary 3.5] *The following holds:*

- (i) *If  $f \in \bar{\mathbf{i}}(\mathcal{B}(\mathbb{T}))$  and  $f \neq 0$ , then  $\nu(f) = 1$ .*
- (ii) *The mapping  $\nu$  is surjective from  $\mathcal{H}(\mathbb{T})$  to  $\mathbb{R}_+$ .*

### 3. COMPLETENESS OF BASIC SUBALGEBRAS

**3.1. Completeness of the ultrametric space  $\mathcal{C}$ .** The subalgebra  $\mathcal{C}$  of  $\mathcal{H}(\mathbb{T})$  is endowed with the restriction of  $\nu$  and then with the restriction of the metric  $\omega$ .

**Theorem 3.1.** *The ultrametric space  $(\mathcal{C}, \omega)$  is complete. Then it is a closed subspace of  $\mathcal{H}(\mathbb{T})$ .*

**Proof.** Let  $(\lambda_m)_m$  be a Cauchy sequence in  $\mathcal{C}$ ; we denote by  $(\lambda_{m,n})_n$  a representative of  $\lambda_m$ . Then we have:

$$\forall \varepsilon > 0, \exists m_0 \in \mathbb{N}^*, \forall p, q \in \mathbb{N}^*, p > q \geq m_0, \liminf_{n \rightarrow +\infty} |\lambda_{p,n} - \lambda_{q,n}|^{1/n} \leq \varepsilon/2.$$

Hence, for each  $(p, q)$  as above there exists  $\eta > 0$  such that  $|\lambda_{p,n} - \lambda_{q,n}|^{1/n} \leq \varepsilon$ . It follows that we can define two sequences  $(m_k)$  and  $(\eta_k)$  of positive integers both strictly increasing and such that:

$$\forall k \in \mathbb{N}^*, \forall n \in \mathbb{N}^*, n \geq \eta_k, |\lambda_{m_{k+1},n} - \lambda_{m_k,n}| \leq \frac{1}{2^{kn}}. \quad (3)$$

We define the sequence  $(\mu_m)_m$  in  $\mathcal{C}$  by

$$\mu_{k,n} = \lambda_{m_k,n} \text{ if } n \geq \eta_k \text{ and } \mu_{k,n} = 0 \text{ if } n < \eta_k.$$

Since the sequence  $(\eta_k)$  is increasing, we have  $\mu_{k+1,n} = 0$  if  $n < \eta_k$ . Then it follows that

$$\forall k \in \mathbb{N}^*, \forall n \in \mathbb{N}^*, |\mu_{k+1,n} - \mu_{k,n}| \leq \frac{1}{2^{kn}}. \quad (4)$$

Hence, we have

$$\sum_{k=1}^{+\infty} |\mu_{k+1,n} - \mu_{k,n}| \leq \sum_{k=1}^{+\infty} \left(\frac{1}{2^n}\right)^k = \frac{1}{2^n - 1}.$$

It follows that for each  $n \in \mathbb{N}^*$ , the sequence  $(\mu_{k,n})_k$  converges to  $\zeta_n$  where

$$\zeta_n = \mu_{1,n} + \sum_{k=1}^{+\infty} \mu_{k+1,n} - \mu_{k,n}.$$

This shows that  $(\zeta_n)$  is a moderate element, and then we set  $\zeta = \text{cl}(\zeta_n)$ . Using (4), we have for every  $p \in \mathbb{N}^*$ :

$$|\mu_{k+p,n} - \mu_{k,n}| \leq \sum_{j=0}^{p-1} |\mu_{k+j+1,n} - \mu_{k+j,n}| \leq \sum_{j=0}^{p-1} \left(\frac{1}{2^n}\right)^{k+j} \leq \left(\frac{1}{2^n}\right)^{k-1} \frac{1}{2^n - 1}.$$

Letting  $p \rightarrow +\infty$ , we get that

$$|\zeta_n - \mu_{k,n}| \leq \left(\frac{1}{2^n}\right)^{k-1} \frac{1}{2^n - 1},$$

from which it follows that

$$\limsup_{n \rightarrow +\infty} |\zeta_n - \mu_{k,n}|^{1/n} \leq \left(\frac{1}{2}\right)^k.$$

This means that  $\nu(\mu_k - \zeta) \leq \left(\frac{1}{2}\right)^k$  showing that  $(\mu_k)_k$  converges to  $\zeta$  in  $(\mathcal{C}, \omega)$ . But since  $\mu_{k,n} = \lambda_{m_k,n}$  for  $n \geq \eta_k$ , it follows that  $\mu_k = \lambda_{m_k}$  which implies that  $(\lambda_m)_m$  converges to  $\zeta$  and concludes the proof.  $\square$

**3.2. The ultrametric algebras  $\mathcal{H}^r(\mathbb{T})$ .** For every  $r > 1$  we set

$$\mathcal{X}_e^r(\mathbb{T}) = \{(f_n)_n \in \mathcal{X}_e(\mathbb{T}), \exists \eta \in \mathbb{N}, \forall n > \eta, f_n \in \mathcal{O}_r, \limsup_{n \rightarrow +\infty} \|f_n\|_r^{1/n} < +\infty\}$$

and we define

$$\mathcal{H}^r(\mathbb{T}) = \{f \in \mathcal{H}(\mathbb{T}), \exists (f_n)_n \in \mathcal{X}_e^r(\mathbb{T}), \text{cl}(f_n) = f\}.$$

Therefore, if  $\mathbb{R}_+ = [0, +\infty)$ , we get a well defined mapping

$$\nu_r : \mathcal{H}^r(\mathbb{T}) \rightarrow \mathbb{R}_+$$

by setting

$$\nu_r(f) = \inf \left\{ \limsup_{n \rightarrow +\infty} \|f_n\|_r^{1/n}, (f_n)_n \in \mathcal{X}_e^r(\mathbb{T}), \text{cl}(f_n) = f \right\}. \quad (5)$$

Then,  $\nu_r$  satisfies to the following.

**Proposition 3.2.** *Let  $f, g \in \mathcal{H}^r(\mathbb{T})$  and  $\lambda \in \mathbb{C}^*$ . Then we have:*

- (i)  $\nu_r(\lambda) = \nu(\lambda)$ ;
- (ii)  $\nu_r(\lambda f) = \nu_r(f)$ ;
- (iii)  $\nu_r(f) \leq \nu_r(g)$ ;
- (iv)  $\nu_r(f) = 0$  if and only if  $f = 0$ ;
- (v)  $\nu_r(fg) \leq \nu_r(f)\nu_r(g)$ ;
- (vi)  $\nu_r(f + g) \leq \max(\nu_r(f), \nu_r(g))$ .



**Proof.** Assume that  $\text{cl}(\lambda_n)$  and  $\text{cl}(\mu_n)$  are two representatives of  $\lambda$ . Then, we have  $(\lambda_n - \mu_n)_n \in \mathcal{N}_e$  and consequently for every  $b \in (0, 1)$  there is  $\eta \in \mathbb{N}$  such that  $|\lambda_n - \mu_n| < b^n$  for  $n > \eta$ . Therefore

$$|\lambda_n|^{1/n} \leq (|\mu_n| + b^n)^{1/n} \leq |\mu_n|^{1/n} + b$$

and then  $\limsup_{n \rightarrow +\infty} |\lambda_n|^{1/n} \leq \limsup_{n \rightarrow +\infty} |\mu_n|^{1/n}$ . It follows that  $\limsup_{n \rightarrow +\infty} |\lambda_n|^{1/n} = \limsup_{n \rightarrow +\infty} |\mu_n|^{1/n}$  which shows that

$$\nu_r(\lambda) = \limsup_{n \rightarrow +\infty} |\lambda_n|^{1/n} = \nu(\lambda)$$

and proves (i). The proof of (ii) can be done following those of [17, Proposition 3.1], (see Proposition 2.3). To prove (iii), let  $\alpha > \nu_r(f)$ . Then, there exists a representative  $(f_n)_n$  of  $f$  in  $\mathcal{X}_e^r(\mathbb{T})$  such that  $\limsup_{n \rightarrow +\infty} \|f_n\|_r^{1/n} < \alpha$ . Since  $\|f_n\|_\rho^{1/n} \leq \|f_n\|_r^{1/n}$  for  $\rho < r$ , it follows that  $\nu(f) = \lim_{\rho \rightarrow 1} (\limsup_{n \rightarrow +\infty} \|f_n\|_\rho^{1/n}) < \alpha$ . Thus,  $\nu(f) \leq \nu_r(f)$ . We see that (iv) follows from (iii). Now take  $\beta > \nu(g)$  and choose a representative  $(g_n)_n$  of  $g$  such that  $\limsup_{n \rightarrow +\infty} \|g_n\|_r^{1/n} < \beta$ . Since  $\limsup_{n \rightarrow +\infty} \|f_n g_n\|_r^{1/n} \leq \limsup_{n \rightarrow +\infty} \|f_n\|_r^{1/n} \times \limsup_{n \rightarrow +\infty} \|g_n\|_r^{1/n}$ , it follows that  $\nu_r(fg) \leq \alpha\beta$  proving (v). Using the above notation, there exists  $\eta \in \mathbb{N}$  such that  $\|f_n\|_r < \alpha^n$  and  $\|g_n\|_r < \beta^n$  for  $n > \eta$ . It follows that

$$\|f_n + g_n\|_r^{1/n} \leq (\alpha^n + \beta^n)^{1/n}.$$

Assuming that  $\alpha \geq \beta$  we get

$$(\alpha^n + \beta^n)^{1/n} = \alpha \left( 1 + \left( \frac{\beta}{\alpha} \right)^n \right)^{1/n} \rightarrow \alpha \text{ as } n \rightarrow +\infty$$

which proves (vi). The proof of the proposition is then complete.

□

Clearly  $\mathcal{H}^r(\mathbb{T})$  is a subalgebra of  $\mathcal{H}(\mathbb{T})$  and  $\mathcal{H}^r(\mathbb{T}) \subset \mathcal{H}^s(\mathbb{T})$  if  $r \geq s > 1$  since  $\nu_r \geq \nu_s$ . Moreover we have  $\mathcal{H}(\mathbb{T}) = \cup_{r>1} \mathcal{H}^r(\mathbb{T})$ . We introduce the ultrametric distances  $\omega_r$  on  $\mathcal{H}^r(\mathbb{T})$  and  $D_r$  on  $\mathcal{H}^r(\mathbb{T})^2$  as follows:

$$\omega_r(f, g) = \nu_r(f - g) \text{ and } D_r((f, u), (g, v)) = \max(\omega_r(f, g), \omega_r(u, v)).$$

It is easily seen that addition and multiplication are continuous maps from  $\mathcal{H}^r(\mathbb{T})^2$  to  $\mathcal{H}^r(\mathbb{T})$ , and the inverse map is a continuous operator on  $\mathcal{H}^r(\mathbb{T})^*$  the group of invertible elements in  $\mathcal{H}^r(\mathbb{T})$ . Moreover, if  $r \geq s > 1$  the embeddings  $u_{s,r} : \mathcal{H}^r(\mathbb{T}) \rightarrow \mathcal{H}^s(\mathbb{T})$  and  $u_r : \mathcal{H}^r(\mathbb{T}) \rightarrow \mathcal{H}(\mathbb{T})$  are continuous. It follows that

$$\mathcal{H}(\mathbb{T}) = \text{ind } \lim_{r \rightarrow 1} \mathcal{H}^r(\mathbb{T}),$$

can be endowed with the inductive limit topology of the spaces  $\mathcal{H}^r(\mathbb{T})$  which will be denoted by  $\mathcal{T}$ . Then we have:

**Proposition 3.3.** *The inductive limit topology defined by the ultrametric spaces  $\mathcal{H}^r(\mathbb{T})$  on  $\mathcal{H}(\mathbb{T})$  is finer than the one induced by  $\nu$ .*

**Proof.** Let  $V$  be an open set in  $\mathcal{H}(\mathbb{T})$  for the topology defined by  $\nu$  and take  $f \in V$ . Then, there exists an open ball centered at  $f$  such that  $B(f, \alpha) \subset V$ . If  $r > 1$  is such that  $f \in \mathcal{H}^r(\mathbb{T})$ , the corresponding open ball  $B_r(f, \alpha)$  for the topology induced by  $\nu_r$  satisfies  $B_r(f, \alpha) \subset B(f, \alpha)$  since  $\nu \leq \nu_r$ . It follows that  $B_r(f, \alpha) \subset V \cap \mathcal{H}^r(\mathbb{T})$  which proves that  $V \cap \mathcal{H}^r(\mathbb{T})$  is an open set in  $\mathcal{H}^r$  for the topology induced by  $\nu_r$ . Hence  $V$  is an open set for the topology  $\mathcal{T}$ , which concludes the proof.  $\square$

For any bounded function  $g$  on  $\mathbb{T}$ , we set

$$\|g\|_{\infty, \mathbb{T}} = \sup_{z \in \mathbb{T}} |g(z)|.$$

Then, the following holds:

**Proposition 3.4.** *Let  $f \in \mathcal{H}(\mathbb{T})$ . If  $(f_n)_n$  and  $(g_n)_n$  are two representatives of  $f$ , then*

$$\limsup_{n \rightarrow +\infty} \|f_n\|_{\infty, \mathbb{T}}^{1/n} = \limsup_{n \rightarrow +\infty} \|g_n\|_{\infty, \mathbb{T}}^{1/n}.$$

**Proof.** Since  $(f_n - g_n)_n \in \mathcal{N}_\epsilon(\mathbb{T})$ , then for every  $b \in (0, 1)$  there are  $r > 1$  and  $\eta \in \mathbb{N}$  such that  $f_n, g_n \in \mathcal{O}_r$  and  $\|f_n - g_n\|_r < b^n$  if  $n > \eta$ . Thus we have:  $\forall b \in (0, 1), \exists r > 1, \exists \eta \in \mathbb{N}, \forall n > \eta$ ,

$$\|f_n - g_n\|_{\infty, \mathbb{T}} < b^n, \quad n > \eta.$$

It follows that  $\|f_n\|_{\infty, \mathbb{T}} \leq \|g_n\|_{\infty, \mathbb{T}} + b^n$  for  $n > \eta$  and then

$$\limsup_{n \rightarrow +\infty} \|f_n\|_{\infty, \mathbb{T}}^{1/n} \leq \max(\limsup_{n \rightarrow +\infty} \|g_n\|_{\infty, \mathbb{T}}^{1/n}, b).$$

- If  $\limsup_{n \rightarrow +\infty} \|g_n\|_{\infty, \mathbb{T}}^{1/n} = 0$ , then  $\limsup_{n \rightarrow +\infty} \|f_n\|_{\infty, \mathbb{T}}^{1/n} \leq b$  for every  $b \in (0, 1)$  which implies that  $\limsup_{n \rightarrow +\infty} \|f_n\|_{\infty, \mathbb{T}}^{1/n} = 0$ .

- If  $\limsup_{n \rightarrow +\infty} \|g_n\|_{\infty, \mathbb{T}}^{1/n} > 0$ , taking  $b < \limsup_{n \rightarrow +\infty} \|f_n\|_{\infty, \mathbb{T}}^{1/n}$  gives  $\limsup_{n \rightarrow +\infty} \|f_n\|_{\infty, \mathbb{T}}^{1/n} \leq \limsup_{n \rightarrow +\infty} \|g_n\|_{\infty, \mathbb{T}}^{1/n}$ .

We have proved that in any case we have

$$\limsup_{n \rightarrow +\infty} \|f_n\|_{\infty, \mathbb{T}}^{1/n} \leq \limsup_{n \rightarrow +\infty} \|g_n\|_{\infty, \mathbb{T}}^{1/n}.$$

The converse inequality can be shown to be true in the same way.  $\square$

This allows us to define

$$\nu_1(f) = \limsup_{n \rightarrow +\infty} \|f_n\|_{\infty, \mathbb{T}}^{1/n} \quad (6)$$

where  $(f_n)_n$  is any representative of  $f$ . It is easy to see that properties (i), (iii) and (vi) of Proposition 3.2 are satisfied for  $r = 1$  and  $\nu_1 \leq \nu$ .

**Theorem 3.5.** *For every  $r > 1$  and for every  $f \in \mathcal{H}^r(\mathbb{T})$  we have:*

- (i)  $\nu_r(f) \leq \max(\nu_r(f'), \nu_1(f))$ ;
- (ii)  $\nu_1(f') \leq \sqrt{\nu_1(f)\nu(f)}$ .

**Proof.** For  $z \in C_r$  set  $z' = z/|z|$ . If  $(f_n)_n$  is a representative of  $f$ , we have

$$f_n(z) = \int_{[z', z]} f'_n(\xi) d\xi + f_n(z')$$

and then

$$|f_n(z)| \leq |z - z'| \|f'_n\|_r + \|f_n\|_{\infty, \mathbb{T}}.$$

Since  $|z - z'| \leq \max(r - 1, 1 - 1/r) = r - 1$ , it follows that

$$|f_n(z)| \leq (r - 1) \|f'_n\|_r + \|f_n\|_{\infty, \mathbb{T}}.$$

Finally we obtain

$$\limsup_{n \rightarrow +\infty} \|f_n\|_r^{1/n} \leq \max(\limsup_{n \rightarrow +\infty} \|f'_n\|_r^{1/n}, \limsup_{n \rightarrow +\infty} \|f_n\|_{\infty, \mathbb{T}}^{1/n})$$

from which (i) follows.

Now let  $a \in \mathbb{T}$  and choose  $s > 0$  such  $\overline{D(a, s)} \subset C_r$  where  $D(a, s) = \{z \in \mathbb{C}, |z - a| < s\}$ . Recall that the remainder after the term of degree  $m$  in the Taylor expansion of  $f_n$  about  $a$  is

$$R_{n,m}(z) = \frac{(z - a)^{m+1}}{2i\pi} \int_{\Gamma_s} \frac{f_n(\xi) d\xi}{(\xi - z)(\xi - a)^{m+1}}$$

where  $\Gamma_s = \{\xi \in \mathbb{C}, |\xi - a| = s\}$ . It follows that if  $|z - a| \leq \rho < s$ , then

$$|R_{n,m}(z)| \leq \frac{s}{s - \rho} \left(\frac{\rho}{s}\right)^{m+1} \|f_n\|_r.$$

Thus, if  $|z - a| = \rho$  and  $z \in \mathbb{T}$ , writting  $f_n(z) = f_n(a) + (z - a)f'_n(a) + R_{n,1}(z)$  and using the above inequality with  $m = 1$  gives

$$\|f'_n\|_{\infty, \mathbb{T}} \leq \frac{2\|f_n\|_{\infty, \mathbb{T}}}{\rho} + \frac{\rho}{s(s - \rho)} \|f_n\|_r. \quad (7)$$

Set  $\rho = ts$  with  $t \in (0, 1)$ . Therefore (7) becomes

$$\|f'_n\|_{\infty, \mathbb{T}} \leq \frac{1}{s} \left( \frac{2\|f_n\|_{\infty, \mathbb{T}}}{t} + \frac{t}{1 - t} \|f_n\|_r \right). \quad (8)$$

Let  $\alpha = 2\|f_n\|_{\infty, \mathbb{T}}$  and  $\beta = \|f_n\|_r$ . We let  $\varphi$  denote the function

$$\varphi(t) = \frac{\alpha}{t} + \frac{\beta t}{1 - t}$$

where  $t \in (0, 1)$ . A simple calculation gives

$$\varphi'(t) = \frac{(\beta - \alpha)t^2 + 2\alpha t - \alpha}{t^2(1 - t)^2}.$$

For  $\beta - \alpha \neq 0$ , the value of the reduced discriminant of the polynomials  $(\beta - \alpha)t^2 + 2\alpha t - \alpha$  being equal to  $\sqrt{\alpha\beta}$ , we find that it has two roots  $t_0$  and  $t_1$  given by

$$t_0 = \frac{-\alpha - \sqrt{\alpha\beta}}{\beta - \alpha} \text{ and } t_1 = \frac{-\alpha + \sqrt{\alpha\beta}}{\beta - \alpha}.$$

If  $\beta > \alpha$ , we find that

$$t_0 < 0 \text{ and } t_1 = \frac{\sqrt{\alpha}}{\sqrt{\alpha} + \sqrt{\beta}},$$

If  $\beta < \alpha$ , we find that

$$t_0 > 1 \text{ and } t_1 = \frac{\sqrt{\alpha}}{\sqrt{\alpha} + \sqrt{\beta}}.$$

If  $\alpha = \beta$ ,  $\varphi'(t)$  vanishes for  $t = \frac{1}{2}$  and  $\varphi(\frac{1}{2}) = 3\alpha$ .

Therefore, in any case  $\varphi(t)$  reaches its minimum at  $t = \frac{\sqrt{\alpha}}{\sqrt{\alpha} + \sqrt{\beta}}$  in  $(0, 1)$  and we find that

$$\varphi\left(\frac{\sqrt{\alpha}}{\sqrt{\alpha} + \sqrt{\beta}}\right) = \alpha + 2\sqrt{\alpha\beta}.$$

This equality is also true when  $\beta = \alpha$ . Finally we obtain

$$\|f'_n\|_{\infty, \mathbb{T}} \leq \frac{2}{s}(2\|f_n\|_{\infty, \mathbb{T}} + \sqrt{2\|f_n\|_{\infty, \mathbb{T}} \cdot \|f_n\|_r}).$$

It follows that

$$\nu_1(f') \leq \max(\nu_1(f), \sqrt{\nu_1(f)} \sqrt{\limsup_{n \rightarrow +\infty} \|f_n\|_r^{1/n}}).$$

Making  $r \rightarrow 1$  and using  $\nu(f) = \lim_{r \rightarrow 1} (\limsup_{n \rightarrow +\infty} \|f_n\|_r^{1/n})$  gives (ii) and concludes the proof.  $\square$

Using Theorem 3.5, (ii) we get straightforwardly:

**Corollary 3.6.** *Let  $f \in \mathcal{H}(\mathbb{T})$ . If  $\nu_1(f) = 0$ , then for every  $m \in \mathbb{N}^*$  we have  $\nu_1(\hat{f}^{(m)}) = 0$ .*

**3.3. Continuity of the differential operators  $d/dz$  and  $\partial_\theta$ .** To establish the continuity of these differential operators we state and prove the following.

**Theorem 3.7.** *Let  $f \in \mathcal{H}^r(\mathbb{T})$  for some  $r > 1$ . The following holds:*

- (i)  $\nu_\rho(\partial_\theta f) = \nu_\rho(f') \leq \nu_r(f), \forall \rho \in (1, r)$ ;
- (ii)  $\nu(\partial_\theta f) = \nu(f') \leq \nu(f)$ ;
- (iii) *If  $\hat{f}(0) = 0$ , then  $\nu(\partial_\theta f) = \nu(f') = \nu(f)$ .*

**Proof.** Let  $(f_n)_n$  denote a representative of  $f$  in  $\mathcal{X}_e^r(\mathbb{T})$  and let  $z \in C_\rho$  with  $\rho \in (1, r)$ . We have  $(\partial_\theta f)(z) = izf'(z)$  with  $\frac{1}{\rho} \leq |z| \leq \rho$ , and then

$$\frac{1}{\rho} \|f'_n\|_\rho \leq \|\partial_\theta f_n\|_\rho \leq \rho \|f'_n\|_\rho$$

which gives

$$\limsup_{n \rightarrow +\infty} \|\partial_\theta f_n\|_\rho^{1/n} = \limsup_{n \rightarrow +\infty} \|f'_n\|_\rho^{1/n}.$$

It follows that  $\nu_\rho(\partial_\theta f) = \nu_\rho(f')$  and  $\nu(\partial_\theta f) = \nu(f')$ .

Let  $\rho \in (1, r)$  and take  $r'$  such that  $\rho < r' < r$ . Hence, for all  $z \in C_\rho$  we have

$$f_n(z) = \frac{1}{2i\pi} \int_{|\xi|=r'} \frac{f_n(\xi)d\xi}{\xi - z} - \frac{1}{2i\pi} \int_{|\xi|=1/r'} \frac{f_n(\xi)d\xi}{\xi - z}$$

and then

$$f'_n(z) = \frac{1}{2i\pi} \int_{|\xi|=r'} \frac{f_n(\xi)d\xi}{(\xi - z)^2} - \frac{1}{2i\pi} \int_{|\xi|=1/r'} \frac{f_n(\xi)d\xi}{(\xi - z)^2}.$$

It follows that

$$|f'_n(z)| \leq \frac{r' \|f_n\|_{r'}}{(r' - \rho)^2} + \frac{\frac{1}{r'} \|f_n\|_{r'}}{(\frac{1}{\rho} - \frac{1}{r'})^2}.$$

Simple calculation gives

$$|f'_n(z)| \leq \frac{r' + r'\rho^2}{(r' - \rho)^2} \|f_n\|_{r'}$$

and then

$$\|f'_n\|_\rho \leq \frac{r' + r'\rho^2}{(r' - \rho)^2} \|f_n\|_{r'}.$$

Using  $\|f_n\|_{r'} \leq \|f_n\|_r$  and letting  $r' \rightarrow r$  yields

$$\|f'_n\|_\rho \leq \frac{r + r\rho^2}{(r - \rho)^2} \|f_n\|_r.$$

It follows that  $\nu_\rho(\partial_\theta f) = \nu_\rho(f') \leq \nu_r(f)$  and  $\nu(\partial_\theta f) = \nu(f') \leq \nu(f)$  which proves (i) and (ii).

Since  $(\widehat{\partial_\theta f_n})(k) = ik\widehat{f_n}(k)$  for all  $k \in \mathbb{Z}$ , it follows from (2) that

$$\nu(f') = \lim_{\rho \rightarrow 1} \left\{ \limsup_{n \rightarrow +\infty} \left[ \sup_{k \in \mathbb{Z}} (\rho^{|k|} |k| |\widehat{f_n}(k)|) \right]^{1/n} \right\}.$$

Hence, if  $\widehat{f}(0) = 0$ , we can choose  $(f_n)_n$  such that  $\widehat{f_n}(0) = 0$  for every  $n$  and we will have

$$\sup_{k \in \mathbb{Z}} (\rho^{|k|} |k| |\widehat{f_n}(k)|) \geq \sup_{k \in \mathbb{Z}} (\rho^{|k|} |\widehat{f_n}(k)|).$$

This leads to  $\nu(\partial_\theta f) \geq \nu(f)$  and then  $\nu(\partial_\theta f) = \nu(f)$ , proving (iii).  $\square$

Thus, the following corollary is a straightforward consequence of Theorem 3.7.

**Corollary 3.8.** *The differential operators  $d/dz$  and  $\partial_\theta$  are continuous in each of the following cases:*

- (i) from  $\mathcal{H}(\mathbb{T})$  to  $\mathcal{H}(\mathbb{T})$ ;
- (ii) from  $\mathcal{H}^r(\mathbb{T})$  to  $\mathcal{H}(\mathbb{T})$ ;
- (iii) from  $\mathcal{H}^r(\mathbb{T})$  to  $\mathcal{H}^s(\mathbb{T})$  with  $1 < s < r$ .

Consequently  $\mathcal{H}(\mathbb{T})$  is a topological differential algebra.

### 3.4. Completeness of the topological algebras $\mathcal{H}^r(\mathbb{T})$ .

**Theorem 3.9.** *The ultrametric algebra  $(\mathcal{H}^r(\mathbb{T}), \omega_r)$  is a complete one.*

**Proof.** Let  $(F_m)_m$  be a Cauchy sequence in  $\mathcal{H}^r(\mathbb{T})$ . It follows from the definition of  $\nu_r$  that there exist  $m_1, m_2 \in \mathbb{N}^*$  with  $m_2 > m_1$  and two representatives  $(F_{m_1, n}^{[1]})_n$  and  $(F_{m_2, n}^{[1]})_n$  of  $F_{m_1}$  and  $F_{m_2}$  respectively such that:

$$\limsup_{n \rightarrow +\infty} \|F_{m_2, n}^{[1]} - F_{m_1, n}^{[1]}\|_r^{1/n} < \frac{1}{2^1}. \quad (9)$$

Then, we set

$$F_{m_1, n} = F_{m_1, n}^{[1]} \text{ and } F_{m_2, n} = F_{m_2, n}^{[1]}. \quad (10)$$

In the same way we get  $m_3 \in \mathbb{N}^*$  with  $m_3 > m_2$  and two representatives  $(F_{m_2, n}^{[2]})_n$  and  $(F_{m_3, n}^{[2]})_n$  of  $F_{m_2}$  and  $F_{m_3}$  respectively such that:

$$\limsup_{n \rightarrow +\infty} \|F_{m_3, n}^{[2]} - F_{m_2, n}^{[2]}\|_r^{1/n} < \frac{1}{2^2}.$$

Then, for each  $n \in \mathbb{N}^*$ , we set

$$F_{m_3, n} = F_{m_3, n}^{[2]} - F_{m_2, n}^{[2]} + F_{m_2, n}.$$

Hence, by induction, we get a subsequence  $(F_{m_k})_k$  along with representatives  $(F_{m_{k+1}, n}^{[k]})_n$  and  $(F_{m_k, n}^{[k]})_n$  of  $F_{m_{k+1}}$  and  $F_{m_k}^{[k]}$  respectively such that for every  $k \in \mathbb{N}^*$ ,

$$\limsup_{n \rightarrow +\infty} \|F_{m_{k+1}, n}^{[k]} - F_{m_k, n}^{[k]}\|_r^{1/n} < \frac{1}{2^k}. \quad (11)$$

Then, for every  $(k, n) \in \mathbb{N}^* \times \mathbb{N}^*$  we set

$$F_{m_{k+1}, n} = F_{m_{k+1}, n}^{[k]} - F_{m_k, n}^{[k]} + F_{m_k, n}. \quad (12)$$

It follows that

$$F_{m_{j+1}, n} - F_{m_j, n} = F_{m_{j+1}, n}^{[j]} - F_{m_j, n}^{[j]}$$

for  $1 \leq j \leq k$ , and summing up we find that for every  $k \geq 2$ :

$$F_{m_{k+1},n} = F_{m_{k+1},n}^{[k]} + \sum_{j=2}^k (F_{m_j,n}^{[j-1]} - F_{m_j,n}^{[j]}). \quad (13)$$

Since  $(F_{m_j,n}^{[j-1]})_n$  and  $(F_{m_j,n}^{[j]})_n$  are both representatives of  $F_{m_j,n}$ , it follows that  $(\sum_{j=2}^k [F_{m_j,n}^{[j-1]} - F_{m_j,n}^{[j]}])_n \in \mathcal{N}_e(\mathbb{T})$  and then  $(F_{m_{k+1},n})_n$  is a representative of  $F_{m_{k+1}}$ . Using (12), we get  $F_{m_{k+1}} - F_{m_k} = F_{m_{k+1},n}^{[k]} - F_{m_k,n}^{[k]}$  and then using (11) we find

$$\limsup_{n \rightarrow +\infty} \|F_{m_{k+1},n} - F_{m_k,n}\|_r^{1/n} < \frac{1}{2^k}. \quad (14)$$

Then, there exists a sequence  $(\eta_k)_k$  of positive integers which is strictly increasing and such that

$$\forall (k, n) \in \mathbb{N}^* \times \mathbb{N}^*, n \geq \eta_k, \|F_{m_{k+1},n} - F_{m_k,n}\|_r \leq \left(\frac{1}{2^k}\right)^n. \quad (15)$$

For each  $k \in \mathbb{N}^*$ , we define the sequence of functions  $(G_{k,n})_n$  as follows:

$$G_{k,n} = F_{m_k,n} \text{ if } n \geq \eta_k \text{ and } G_{k,n} = 0 \text{ otherwise.}$$

It follows that  $(G_{k,n})_n$  is a moderate sequence, and if  $G_k = [(G_{k,n})]$ , then  $G_k = F_{m_k}$ . We also have:

$$\forall (k, n) \in \mathbb{N}^* \times \mathbb{N}^*, \|G_{k+1,n} - G_{k,n}\| \leq \left(\frac{1}{2^n}\right)^k.$$

Using successively the above inequality, we get for every  $p \in \mathbb{N}^*$ :

$$\begin{aligned} \|G_{k+p,n} - G_{k,n}\|_r &\leq \|G_{k+p,n} - G_{k+p-1,n}\|_r + \dots + \|G_{k+1,n} - G_{k,n}\|_r \\ &\leq \left(\frac{1}{2^n}\right)^{k+p-1} + \dots + \left(\frac{1}{2^n}\right)^k \\ &\leq \left(\frac{1}{2^n}\right)^k \left[ \left(\frac{1}{2^n}\right)^{p-1} + \dots + 1 \right] \\ \|G_{k+p,n} - G_{k,n}\|_r &\leq \left(\frac{1}{2^n}\right)^{k-1} \frac{1}{2^n - 1}. \end{aligned}$$

It follows that for each  $n \in \mathbb{N}^*$ , the sequence  $(G_{k,n})_k$  is a Cauchy sequence in  $\mathcal{O}_r$  and then it converges to an element  $g_n$  in  $\mathcal{O}_r$ . Letting  $p \rightarrow +\infty$  in the above inequality gives

$$\|g_n - G_{k,n}\|_r \leq \left(\frac{1}{2^n}\right)^{k-1} \frac{1}{2^n - 1}. \quad (16)$$

This shows that  $(g_n)$  is a moderate element; in fact we have:

$$\|g_n\|_r \leq \|G_{k,n}\|_r + \left(\frac{1}{2^{k-1}}\right)^n.$$

Then we set  $g = [(g_n)]$ . Using (16), we have for every  $p \in \mathbb{N}^*$ :

$$\|g_n - G_{k,n}\|_r^{1/n} \leq \left(\frac{1}{2}\right)^{k-1} \left(\frac{1}{2^n - 1}\right)^{1/n}$$

which gives

$$\nu_r(g - G_k) \leq \limsup_{n \rightarrow +\infty} \|g_n - G_{k,n}\|_r^{1/n} \leq \left(\frac{1}{2}\right)^k$$

and proves that

$$\lim_{k \rightarrow +\infty} \nu_r(g - G_k) = 0.$$

Hence,  $(F_{m_k})_k$  converges to  $g$  in  $\mathcal{H}(\mathbb{T})$ , and since  $(F_m)_m$  is a Cauchy sequence, it converges to  $g$  which concludes the proof.  $\square$

#### 4. FUNCTIONAL CALCULUS AND APPLICATIONS

All the results stated in this section for the algebra  $\mathcal{H}(\mathbb{T})$  are also true for the subalgebras  $\mathcal{H}^r(\mathbb{T})$  and  $\mathcal{C}$ .

##### 4.1. Exponential, logarithm and power functions.

4.1.1. *The exponential of a generalized hyperfunction.* Let  $u \in \mathcal{H}(\mathbb{T})$  and let  $(u_n)$  be a representative of  $u$  such that  $u_n \in \mathcal{O}_r$  for some  $r > 1$ . If  $z \in C_r$ , then  $|\exp(u_n(z))| = \exp(\Re u_n(z))$  and consequently

$$\|\exp(u_n)\|_r = \exp\left(\sup_{z \in C_r} \Re u_n(z)\right).$$

It follows that  $(u_n)$  satisfies  $\|\exp(u_n)\|_r \leq a^n$  for some positive constant  $a$  if and only if  $\sup_{z \in C_r} \Re u_n(z) \leq n \ln a$ .

**Definition 4.1.** *A generalized hyperfunction  $u$  is said to be real sublinear if it admits a representative  $(u_n)_n$  such that  $u_n \in \mathcal{O}_r$  for some  $r > 1$  and  $\sup_{z \in C_r} \Re u_n(z) \leq \lambda n$  for a real constant  $\lambda$  and  $n$  large enough.*

We have the following:

**Proposition 4.1.** *For a generalized hyperfunction  $u$ , the condition to be real sublinear does not depend on the chosen representative.*

**Proof.** Let  $(u_n)_n$  and  $(v_n)_n$  be two representatives of  $u$  where  $(u_n)_n$  is real sublinear; we set

$$\alpha_n = \sup_{z \in C_r} \Re u_n(z) \text{ and } \beta_n = \sup_{z \in C_r} \Re v_n(z).$$

It follows that

$$|e^{\beta_n} - e^{\alpha_n}| = \|e^{v_n}\|_r - \|e^{u_n}\|_r \leq \|e^{v_n} - e^{u_n}\|_r$$



and then using  $|e^z - 1| \leq |z|e^{|z|}$ , we get

$$\begin{aligned} |e^{\beta_n} - e^{\alpha_n}| &\leq \|e^{u_n}(e^{v_n - u_n} - 1)\|_r \\ &\leq \|e^{u_n}\|_r \|e^{v_n - u_n} - 1\|_r \\ &\leq e^{\alpha_n} e^{\|v_n - u_n\|_r} \|v_n - u_n\|_r. \end{aligned}$$

Since  $(v_n - u_n)_n$  is negligible, for every  $\varepsilon > 0$  there exists  $\eta_1 \in \mathbb{N}$  such that  $e^{\|v_n - u_n\|_r} \|v_n - u_n\|_r \leq \varepsilon$  if  $n > \eta_1$ . It follows that  $e^{\beta_n} \leq (1 + \varepsilon)e^{\alpha_n}$  for  $n > \eta_1$ . Hence, if  $\alpha_n \leq \lambda n$  for  $n > \eta > \eta_1$ , then we have  $\beta_n \leq [\lambda + \ln(1 + \varepsilon)]n$  for  $n > \eta$  which proves the proposition  $\square$

We notice that if  $u$  is bounded, i.e.  $\|u_n\|_r \leq \alpha$  for some  $\alpha > 0$  for  $n$  large enough, then it is real sublinear. Clearly, if  $u$  is real sublinear then  $\lambda u$  is also real sublinear if  $\lambda$  is a nonnegative real number. It is easily seen that if  $u, v \in \mathcal{H}(\mathbb{T})$ , then

$$\exp(u + v) = \exp u \times \exp v.$$

Moreover, since  $\sup_{z \in C_r} (-\Re u_n(z)) = -\inf_{z \in C_r} \Re u_n(z)$ , it follows that  $(-u)$  is real sublinear if and only if  $\inf_{z \in C_r} \Re u_n(z) \geq \mu n$  for some  $\mu \in \mathbb{R}$  when  $n$  is large enough. Thus  $u$  and  $(-u)$  are both real sublinear if and only if there are  $\lambda, \mu \in \mathbb{R}$  such that

$$\mu n \leq \inf_{z \in C_r} \Re u_n(z) \leq \sup_{z \in C_r} (-\Re u_n(z)) \leq \lambda n.$$

Under this condition  $\exp(u)$  and  $\exp(-u)$  are invertible with

$$[\exp(u)]^{-1} = \exp(-u).$$

4.1.2. *The exponential of  $u$  for  $\nu(u) < 1$ .*

**Theorem 4.2.** *If  $u \in \mathcal{H}(\mathbb{T})$  is such that  $\nu(u) < 1$ , then  $\exp(u)$  is well defined in  $\mathcal{H}(\mathbb{T})$  and is given by*

$$\exp(u) = \sum_{k=0}^{+\infty} \frac{u^k}{k!}.$$

**Proof.** Let  $u \in \mathcal{H}(\mathbb{T})$  satisfy  $\nu(u) < 1$  and choose any representative  $(u_n)_n$  of  $u$ . Then we have:

$$\nu(u) = \lim_{r \rightarrow 1} (\limsup_{n \rightarrow \infty} \|u_n\|_r^{1/n}) < 1.$$

Hence, for every  $\alpha$  such that  $\nu(u) < \alpha < 1$ , there exists  $\rho > 1$  such that

$$\nu_\rho(u) = \limsup_{n \rightarrow \infty} \|u_n\|_\rho^{1/n} < \alpha,$$

and there exists  $n_0 \in \mathbb{N}^*$  such that for every  $n \geq n_0$ :

$$\|u_n\|_\rho < \alpha^n < 1.$$

Hence,  $(\|u_n\|_\rho)_n$  is bounded and then  $\exp(u)$  is well defined. Moreover, since  $\nu_\rho\left(\frac{u^k}{k!}\right) = \nu_\rho(u^k)$ , if  $p$  and  $q$  are two integers such that  $p > q$ , it follows from  $\nu_\rho(u) < 1$ , that:

$$\nu_\rho\left(\sum_{k=q+1}^p \frac{u^k}{k!}\right) \leq \max_{q+1 \leq k \leq p} \nu_\rho\left(\frac{u^k}{k!}\right) \leq [\nu_\rho(u)]^{q+1}.$$

Hence, we have  $\lim_{q \rightarrow +\infty} [\nu_\rho(u)]^{q+1} = 0$  and then,

$$\lim_{p, q \rightarrow +\infty} \nu_\rho\left(\sum_{k=q+1}^p \frac{u^k}{k!}\right) = 0$$

showing that  $\left(\sum_{k=0}^m \frac{u^k}{k!}\right)_m$  is a Cauchy sequence in  $\mathcal{H}^\rho(\mathbb{T})$ . Since  $\mathcal{H}^\rho(\mathbb{T})$  is complete and the embedding  $u_\rho : \mathcal{H}^\rho(\mathbb{T}) \rightarrow \mathcal{H}(\mathbb{T})$  is continuous, it follows that the series  $\sum_{k \geq 0} \frac{u^k}{k!}$  converges in  $\mathcal{H}(\mathbb{T})$  to  $\exp(u)$ .  $\square$

**4.1.3. The logarithm function.** Let  $u \in \mathcal{H}(\mathbb{T})$  admit a representative  $(u_n)$  such that  $u_n(C_r) \cap \mathbb{R}_- = \emptyset$  for  $n > n_0$  for some  $n_0 \in \mathbb{N}^*$ . Then  $\log(u_n)$  is holomorphic in  $C_r$  and for every  $z \in C_r$ , we have

$$\log(u_n(z)) = \ln |u_n(z)| + i \arg(u_n(z))$$

where  $\arg$  denotes the principal determination of the argument function. If  $\|u_n\|_r \leq a^n$  for  $n > \eta$  for some  $a > 1$  and  $\eta \in \mathbb{N}^*$ , then we have  $\|\ln |u_n|\|_r \leq \ln \|u_n\|_r \leq n \ln a$ . It follows that

$$\|\log(u_n)\|_r \leq n \ln a + 2\pi.$$

This shows that  $(\log u_n)$  is a moderated sequence and  $\log u = \text{cl}(\log u_n)$  is real sublinear. Consequently,  $\exp(\log u)$  is well defined, and one gets

$$\exp(\log u) = u. \quad (17)$$

The condition  $u_n(C_r) \cap \mathbb{R}_- = \emptyset$  for the existence of  $\log u$  depends on the chosen representative  $(u_n)$ . Then it is necessary to get a sufficient one depending only on  $u$ .

**Proposition 4.3.** *Let  $u \in \mathcal{H}(\mathbb{T})$  and let  $(u_n)$  denote a representative of  $u$  in some  $O_r^{\mathbb{N}^*}$ . Define*

$$d_r(u_n) = \text{dist}(u_n(C_r), \mathbb{R}_-) = \inf_{z \in C_r, \lambda \in \mathbb{R}_-} |u_n(z) - \lambda|. \quad (18)$$

*Then  $(d_r(u_n)) \in \mathcal{C}_e$  and  $d_r(u) = \text{cl}(d_r(u_n))$  is independent on the representative  $(u_n)$ , and  $d_r(u) \leq d_s(u)$  if  $s < r$ . Moreover if  $d_r(u) \in \mathcal{C}^*$ , then  $\log u$  is well defined.*

**Proof.** For every  $z \in C_r$  and  $\lambda \in \mathbb{R}_-$ , we have

$$d_r(u_n) \leq |u_n(z) - \lambda|$$

and then  $(d_r(u_n)) \in \mathcal{C}_e$ . Let  $(g_n)$  denote another representative of  $u$  in  $\mathcal{O}_r^{\mathbb{N}^*}$ . For every  $z \in C_r$  and  $\lambda \in \mathbb{R}_-$ , writing  $(g_n(z) - \lambda) - (u_n(z) - \lambda) = g_n(z) - u_n(z)$ , gives

$$||g_n(z) - \lambda| - |u_n(z) - \lambda|| \leq |g_n(z) - u_n(z)|.$$

It follows that

$$d_r(g_n) \leq |g_n(z) - u_n(z)| + |u_n(z) - \lambda|$$

which leads to

$$|d_r(g_n) - d_r(u_n)| \leq |g_n(z) - u_n(z)| \leq \|g_n - u_n\|_r.$$

Whence  $(d_r(g_n) - d_r(u_n)) \in \mathcal{I}_e$  i.e.  $\text{cl}(d_r(g_n)) = \text{cl}(d_r(u_n))$ . This shows that  $\text{cl}(d_r(u_n))$  does not depend on the representative  $(u_n)$  and then  $d_r(u) = \text{cl}(d_r(u_n))$  is well defined. Since  $\{(z, \lambda) \in C_s \times \mathbb{R}_-\} \subset \{(z, \lambda) \in C_r \times \mathbb{R}_-\}$  if  $s < r$ , it follows that  $d_r(u) \leq d_s(u)$ . Now assume that  $d_r(u)$  is an invertible element of  $\mathcal{C}$ . This means that:

$$\exists c \in (0, 1), \exists n_0 \in \mathbb{N}^*, \forall n > n_0 : \text{dist}(u_n(C_r), \mathbb{R}_-) \geq c^n. \quad (19)$$

Since  $\text{dist}(u_n(C_r), \mathbb{R}_-) > 0$  for  $n > n_0$ , it follows that  $u_n(C_r) \cap \mathbb{R}_- = \emptyset$  for  $n > n_0$  and then  $\log u$  is well defined.  $\square$

**Corollary 4.4.** *Let  $u \in \mathcal{H}(\mathbb{T})$ . If  $d_r(u)$  is invertible for some  $r > 1$ , then  $u$  is invertible.*

**Proof.** Let  $(u_n)$  denote a representative of  $u$  such that  $u_n \in \mathcal{O}_r$ . Since  $\{(z, 0); z \in C_r\} \subset \{(z, \lambda) \in C_r \times \mathbb{R}_-\}$ , it follows that  $\inf_{z \in C_r} |u_n(z)| \geq d_r(u_n)$ . Hence, if  $d_r(u)$  is invertible,  $\text{cl}(\inf_{z \in C_r} |u_n(z)|)$  is invertible which means that  $u$  is invertible (see Section 2.3).  $\square$

**Remark 4.1.** *If  $\xi \in \mathcal{C}$ , we set  $d(\xi) = \inf_{\lambda \in \mathbb{R}_-} |\xi - \lambda| = d_r(\xi)$  for any  $r > 1$ ,  $\xi$  being considered as a constant generalized hyperfunction.*

4.1.4. *Series expansion of  $\log(1 + u)$  for  $\nu(u) < 1$ .*

**Theorem 4.5.** *Let  $u \in \mathcal{H}(\mathbb{T})$  be such that  $\nu(u) < 1$ . Then  $\log(1 + u)$  is well defined in  $\mathcal{H}(\mathbb{T})$  and is given by*

$$\log(1 + u) = \sum_{k=1}^{+\infty} \frac{(-1)^{k+1}}{k} u^k.$$

**Proof.** Let  $u \in \mathcal{H}(\mathbb{T})$  satisfy  $\nu(u) < 1$ . It follows that there exists  $\rho > 1$  such that  $l = \limsup_{n \rightarrow +\infty} \|u_n\|_\rho^{1/n} < 1$ . Taking  $\alpha$  such that  $l < \alpha < 1$ , there exists  $n_0 \in \mathbb{N}^*$  such that  $\|u_n\|_\rho < \alpha^n$  for  $n > n_0$ . Hence, for every  $z \in C_\rho$  and every  $\lambda \in \mathbb{R}_-$ , if  $n > n_0$  we have

$$|(1 + u_n(z)) - \lambda| \geq (1 - \lambda) - \|u_n\|_\rho \geq (1 - \alpha)^n.$$

Hence,  $1 + u \in \mathcal{H}^\rho(\mathbb{T})$  and  $d_\rho(1 + u) \in \mathcal{C}^*$  for  $n \geq n_0$ . It follows from Proposition 4.3 that  $\log(1 + u)$  is well defined. Since  $\nu_\rho\left(\frac{(-1)^{k+1}u^k}{k}\right) = \nu_\rho(u^k)$ , we can proceed as in the proof of Theorem 4.2 to show that  $\left(\sum_{k=1}^m \frac{(-1)^{k+1}u^k}{k}\right)_m$  is a Cauchy sequence in  $\mathcal{H}^\rho(\mathbb{T})$ . Hence, the series  $\sum_{k=1}^{+\infty} \frac{(-1)^{k+1}u^k}{k}$  converges in  $\mathcal{H}(\mathbb{T})$  to  $\log(1 + u)$ .  $\square$

4.1.5. *Power functions.* Let  $h \in \mathcal{H}(\mathbb{T})$  such that  $\log h$  exists and let  $s \in \mathcal{H}(\mathbb{T})$ . If  $s \log h$  is real sublinear, we can calculate  $\exp(s \log h)$ , then we define

$$h^s = \exp(s \log h).$$

Let  $(s_n)$  and  $(h_n)$  be respective representatives of  $s$  and  $h$  in some  $\mathcal{O}_r^{\mathbb{N}^*}$  with  $d_r(h)$  invertible. If  $\Re s_n = a_n$  and  $\Im s_n = b_n$  then we have

$$\Re(s_n \log h_n) = a_n \ln |h_n| - b_n \arg h_n.$$

For instance if  $(a_n)$  is bounded and  $b_n = O(n)$  then  $s \log h$  is real sublinear. We note that if  $s \in \mathbb{C}$ , then  $s \log h$  is always real sublinear and  $h^s$  is well defined.

**Proposition 4.6.** *Let  $s \in \mathbb{R}$  such that  $|s| \geq 1$  and  $h \in \mathcal{H}(\mathbb{T})$ . If  $\log h$  exists, then the equation*

$$u^s = h \tag{20}$$

*has a solution  $u \in \mathcal{H}(\mathbb{T})$  given by  $u = h^{1/s} = \exp\left(\frac{1}{s} \log h\right)$ .*

**Proof.** Since  $\log h$  exists and  $s \neq 0$ , then  $h^{1/s} = \exp\left(\frac{1}{s} \log h\right)$  is well defined. We show that  $u = h^{1/s}$  is a solution to (20). Let  $(h_n)$  be a representative of  $h$  in some  $\mathcal{O}_r^{\mathbb{N}^*}$  such that  $h_n(C_r) \cap \mathbb{R}_- = \emptyset$  for every  $n \in \mathbb{N}^*$ . We have

$$\begin{aligned} \exp\left(\frac{1}{s} \log h_n\right) &= \exp\left(\frac{1}{s}(\ln |h_n| + i \arg h_n)\right); \\ &= \exp\left(\frac{1}{s} \ln |h_n|\right) \exp\left(\frac{i}{s} \arg h_n\right); \\ &= |h_n|^{1/s} \exp\left(\frac{i}{s} \arg h_n\right). \end{aligned}$$

Since  $|s| \geq 1$ , it follows that  $\frac{1}{s} \arg h_n \in (-\pi, \pi)$  and then

$$\arg\left(\exp\left(\frac{1}{s} \log h_n\right)\right) = \frac{1}{s} \arg h_n.$$

Thus  $\exp(\frac{1}{s} \log h_n)(C_r) \cap \mathbb{R}_- = \emptyset$  for every  $n$  and then  $\log \left[ \exp \left( \frac{1}{s} \log h_n \right) \right]$  is well defined and

$$\begin{aligned} \log \left[ \exp \left( \frac{1}{s} \log h_n \right) \right] &= \frac{1}{s} \ln |h_n| + \frac{i}{s} \arg h_n; \\ &= \frac{1}{s} \log h_n. \end{aligned}$$

It follows that  $s \log \left[ \exp \left( \frac{1}{s} \log h_n \right) \right] = \log h_n$ . Then we have

$$\exp \left[ s \log \left( \exp \left( \frac{1}{s} \log h_n \right) \right) \right] = h_n$$

which gives

$$\begin{aligned} (h^{1/s})^s &= \exp(s \log h^{1/s}); \\ &= \exp \left[ s \log \left( \exp \left( \frac{1}{s} \log h \right) \right) \right] = h \end{aligned}$$

and proves the result.  $\square$

Let  $\mathcal{Z}$  denote the subring of generalized integers, that is

$$\mathcal{Z} = \{ \tilde{z} \in \mathcal{C}, \exists (z_n)_n \in \mathbb{Z}^{\mathbb{N}^*} \cap \mathcal{C}_e : \text{cl}(z_n) = \tilde{z} \}.$$

Then, we have the following.

**Proposition 4.7.** *Let  $s \in (-1, 1)$  and  $h \in \mathcal{H}(\mathbb{T})$  such that  $\log h$  exists. Then, there exists a generalized hyperfunction  $p$  valued in  $\mathcal{Z}$  and such that*

$$(h^{1/s})^s = (e^{-is\pi})^{2p} h. \quad (21)$$

**Proof.** Keep the notation of Proposition 4.6 and set

$$\frac{\arg h_n}{s} = 2p_{n,s}\pi + \frac{\theta_{n,s}}{s} \quad (22)$$

where  $p_{n,s}(z) \in \mathbb{Z}$  and  $|\theta_{n,s}(z)| < |s|\pi$  for  $z \in C_r$ . Since

$$\frac{1}{s} \log h_n = \ln |h_n|^{1/s} + i \frac{\arg h_n}{s},$$

it follows that

$$\exp \left( \frac{1}{s} \log h_n \right) = |h_n|^{1/s} \exp \left( \frac{i\theta_{n,s}}{s} \right).$$

Thus we have

$$\ln \left( \exp \left( \frac{1}{s} \log h_n \right) \right) = \frac{1}{s} \ln |h_n| + \frac{i\theta_{n,s}}{s}$$

and then

$$\begin{aligned} s \log \left[ \exp \left( \frac{1}{s} \log h_n \right) \right] &= \ln |h_n| + i\theta_{n,s}; \\ &= \ln |h_n| + i \arg h_n - 2isp_{n,s}\pi, \end{aligned}$$

that is

$$s \log \left[ \exp \left( \frac{1}{s} \log h_n \right) \right] = \log h_n - 2is p_{n,s} \pi. \quad (23)$$

The above equality gives

$$p_{n,s} = \frac{\log h_n - s \log \left( \exp \left( \frac{1}{s} \log h_n \right) \right)}{2is\pi}$$

which shows that  $p_{n,s}$  is a holomorphic function in  $C_r$ . Since  $p_{n,s}$  takes its values in  $\mathbb{Z}$  and  $C_r$  is a connected space, it follows that for each  $n \in \mathbb{N}^*$ ,  $p_{n,s}$  is constant. The above equality also shows that  $(p_{n,s})_n$  is moderated, but using (22) yields

$$p_{n,s} = \frac{\arg h_n - \theta_{n,s}}{2s\pi}.$$

Then, since  $|\arg h_n| < \pi$  and  $|\theta_{n,s}| < |s|\pi$ , we obtain precisely that

$$\|p_{n,s}\|_r \leq \frac{1 + |s|}{2|s|}$$

which shows that  $(p_{n,s})_n \in \mathcal{X}_e^r$  and allows us to define

$$p = \text{cl}(p_{n,s}).$$

Equality (23) also gives

$$\exp \left[ s \log \left( \exp \left( \frac{1}{s} \log h_n \right) \right) \right] = (e^{-is\pi})^{2p_{n,s}} h_n.$$

It follows from  $|s\pi| < \pi$  that  $e^{-is\pi}$  has a logarithm and then  $(e^{-is\pi})^{2p}$  is well defined as mentioned at the beginning of Section 4.1.5. Hence, we have

$$(h^{1/s})^s = \exp(s \log h^{1/s}) = (e^{-is\pi})^{2p} h.$$

The proposition is thus proved.  $\square$

The proof of Proposition 4.6 shows that the invertibility of  $d_r(h)$  implies that  $\exp(\frac{1}{s} \log h_n)(C_r) \cap \mathbb{R}_- = \emptyset$  for  $n$  is large enough. In fact, we have:

**Proposition 4.8.** *Let  $h \in \mathcal{H}(\mathbb{T})$  such that  $d_r(h)$  is invertible for some  $r > 1$ . If  $s$  is a real number such that  $|s| \geq 1$ , then  $d_r(\exp(\frac{1}{s} \log h))$  is also invertible.*

**Proof.** Let  $(h_n)$  be a representative of  $h$  in  $\mathcal{O}_r^{\mathbb{N}^*}$ . We have

$$d_r^2 \left( \exp \left( \frac{1}{s} \log h_n \right) \right) = \inf_{z \in C_r, \lambda \in \mathbb{R}_-} \left| \left( \exp \left( \frac{1}{s} \log h \right) \right) - \lambda \right|^2.$$

For  $z$  fixed in  $C_r$ , set  $\rho_n = |h_n(z)|^{1/s}$  and  $\theta_n = \arg h_n(z)$ . Then we get

$$\begin{aligned} d_r^2 \left( \exp\left(\frac{1}{s} \log h_n\right) \right) &= \inf_{z \in C_r, \lambda \in \mathbb{R}_-} \left| \rho_n \cos\left(\frac{\theta_n}{s}\right) - \lambda + i \rho_n \sin\left(\frac{\theta_n}{s}\right) \right|^2 \\ d_r^2 \left( \exp\left(\frac{1}{s} \log h_n\right) \right) &= \inf_{z \in C_r, \lambda \in \mathbb{R}_-} \left\{ \left( \lambda - \rho_n \cos\left(\frac{\theta_n}{s}\right) \right)^2 + \rho_n^2 \sin^2\left(\frac{\theta_n}{s}\right) \right\}. \end{aligned}$$

Set  $f(\lambda) = \left( \lambda - \rho_n \cos\left(\frac{\theta_n}{s}\right) \right)^2 + \rho_n^2 \sin^2\left(\frac{\theta_n}{s}\right)$  where  $\lambda \leq 0$ . Then  $f$  is a derivable function of  $\lambda$  and  $f'(\lambda) = 2\left(\lambda - \rho_n \cos\left(\frac{\theta_n}{s}\right)\right)$ .

If  $\cos\left(\frac{\theta_n}{s}\right) \geq 0$ , then  $f'(\lambda) \leq 0$  and  $f$  reaches its minimum  $\rho_n^2$  at  $\lambda = 0$ ; If  $\cos\left(\frac{\theta_n}{s}\right) < 0$ , then  $f$  reaches its minimum  $\rho_n^2 \sin^2\left(\frac{\theta_n}{s}\right)$  at  $\lambda = \rho_n \cos\left(\frac{\theta_n}{s}\right)$ .

The condition  $\cos\left(\frac{\theta_n}{s}\right) < 0$  implies that  $\frac{\pi}{2} < \left|\frac{\theta_n}{s}\right| < \frac{\pi}{|s|}$  and then  $\sin^2\left(\frac{\theta_n}{s}\right) > \sin^2\left(\frac{\pi}{s}\right)$ . It follows that in any case,

$$\inf_{\lambda \in \mathbb{R}_-} f(\lambda) \geq \rho_n^2 \sin^2\left(\frac{\pi}{s}\right)$$

and then

$$d_r \left( \exp\left(\frac{1}{s} \log h_n\right) \right) \geq \sin\left(\frac{\pi}{|s|}\right) \inf_{z \in C_r} |h_n(z)|^{1/s}. \quad (24)$$

We notice that  $\sin\left(\frac{\pi}{|s|}\right) \neq 0$  if  $|s| > 1$ . Since  $d_r(h)$  is invertible, it follows from Corollary 4.4 that  $h$  is invertible, which means that there are  $e \in (0, 1)$  and  $n_0 \in \mathbb{N}^*$  such that  $\inf_{z \in C_r} |h_n(z)| \geq e^n$  if  $n > n_0$ . If  $s > 1$ , using (24), we have that  $d_r \left( \exp\left(\frac{1}{s} \log h_n\right) \right) \geq (b^{1/s})^n$  for some  $b \in (0, 1)$  and  $n$  large enough. If  $s < -1$ , since  $h^{-1}$  is invertible with  $(h_n^{-1})$  as representative and

$$\inf_{z \in C_r} |h_n(z)|^{1/s} = \inf_{z \in C_r} |h_n^{-1}(z)|^{1/|s|},$$

it follows that  $d_r \left( \exp\left(\frac{1}{s} \log h_n\right) \right) \geq (c^{1/|s|})^n$  for some  $c \in (0, 1)$  and  $n$  large enough. Thus  $d_r \left( \exp\left(\frac{1}{s} \log h\right) \right)$  is invertible for  $|s| > 1$ .

If  $s = 1$ , we have  $\exp(\log h) = h$  which is invertible. If  $s = -1$ , since

$$-\log h_n(z) = \ln |h_n(z)|^{-1} + i \arg h_n^{-1}(z) = \log h_n^{-1}(z),$$

it follows that  $\exp(-\log h) = \exp(\log h^{-1}) = h^{-1}$  which is invertible. The proposition is thus proved.  $\square$

**4.2. Application to nonlinear differential equations.** Consider the nonlinear ordinary differential equation:

$$\partial_\theta h - u h^s = 0. \quad (25)$$

**Proposition 4.9.** *Assume that  $u \in \mathcal{H}(\mathbb{T})$  satisfies  $\hat{u}(0) = 0$  and  $s \in (-\infty, 0] \cup [2, +\infty)$ . If  $U \in \mathcal{H}(\mathbb{T})$  is a primitive of  $u$  with respect to  $\partial_\theta$ , there exists  $\rho > 1$  and  $\mu \in \mathcal{C}^*$  such that  $d_\rho((1-s)U + \mu) \in \mathcal{C}^*$ , and*

$$h = ((1-s)U + \mu)^{1/(s-1)}$$

is a solution to (25).

**Proof.** Since  $\hat{u}(0) = 0$ , Proposition 2.2 implies that there exists  $U \in \mathcal{H}(\mathbb{T})$  such that  $\partial_\theta U = u$ . Then (25) is formally equivalent to

$$\frac{\partial_\theta h}{h^s} = \partial_\theta U. \quad (26)$$

On the other hand, we have:

$$\partial_\theta \left( \frac{1}{h^{s-1}} \right) = \frac{(1-s)\partial_\theta h}{h^s}$$

which gives

$$\partial_\theta (h^{s-1} - (1-s)U) = 0.$$

Thus, there exists a constant  $\mu \in \mathcal{C}$  such that

$$h^{s-1} = (1-s)U + \mu. \quad (27)$$

Let  $a > \nu(U) + 1, \nu(U) < b < a, \alpha > 0$  and take  $\mu = \text{cl}(\mu_n)$  with  $\mu_n = a^n + \alpha^n$ . If  $(U_n)$  is any representative of  $U$ , there are  $\rho > 0$  and  $\eta \in \mathbb{N}^*$  such that:

$$\|U_n\|_\rho < b^n, \quad n > \eta.$$

For every  $\lambda \in \mathbb{R}_-$  and  $z \in C_\rho$ , if  $n > \eta$ , we have

$$\begin{aligned} |(1-s)U_n(z) + \mu_n - \lambda| &\geq \mu_n - \lambda - |1-s||U_n(z)| \\ &\geq \mu_n - \lambda - |1-s|\|U_n\|_\rho \\ &\geq a^n + \alpha^n - \lambda - |1-s|b^n \\ &\geq (a^n - |1-s|b^n - \lambda) + \alpha^n. \end{aligned}$$

It follows from the hypotheses that  $a^n - |1-s|b^n - \lambda \geq 0$  for  $n$  large enough which implies that  $|(1-s)U_n(z) + \mu_n - \lambda| \geq \alpha^n$  for such  $n$ . Then we have

$$d_\rho((1-s)U + \mu) \in \mathcal{H}^*(\mathbb{T}).$$

Thus,  $\log((1-s)U + \mu)$  is well defined. Using Proposition 4.6 and (27), we get that

$$h = ((1-s)U + \mu)^{1/(s-1)}$$

is effectively a solution to (25).  $\square$



Now consider the nonlinear Cauchy problem:

$$\begin{cases} \partial_\theta h - uh^s &= 0 \\ h(\zeta) &= \tau \end{cases} \quad (28)$$

where  $\zeta \in \tilde{\mathbb{T}}$ ,  $\tau \in \mathcal{C}$  and  $d(\tau) \in \mathcal{C}^*$ . Then, we have:

**Theorem 4.10.** *Let  $u \in \mathcal{H}(\mathbb{T})$  satisfy  $\hat{u}(0) = 0$  and  $s \in (-\infty, 0] \cup [2, +\infty)$ . Assume that there exist  $c > |1 - s|\pi$  and  $\varepsilon > 0$  such that*

$$d(\tau^{s-1}) - c\gamma_\varepsilon(u) \geq \alpha \quad (29)$$

for some positive real  $\alpha \in \mathcal{C}^*$  where  $\gamma_\varepsilon(u) = \text{cl}((\nu(u) + \varepsilon)^n)$ . Then, (28) has a solution in  $\mathcal{H}(\mathbb{T})$ .

**Proof.** We keep the notation of Proposition 4.9 and we set

$$w = ((1 - s)U + \beta)^{1/(s-1)} \quad (30)$$

where  $\beta \in \mathcal{C}$ . We show that  $\beta$  can be chosen for  $w$  to be a solution to (28). Recall that  $\zeta \in \tilde{\mathbb{T}}$  means that it has a representative  $(\zeta_n)_n$  in  $\mathbb{T}^{\mathbb{N}^*}$ . Set  $\zeta_n = e^{i\theta_n}$  and take  $r > 1$  such that

$$(r - 1)r < \frac{c}{|1 - s|} - \pi. \quad (31)$$

For  $\theta \in [-\pi, \pi]$ , we set  $z' = e^{i\theta} \in \mathbb{T}$  and  $z = \rho e^{i\theta}$  where  $\rho$  varies in  $(1/r, r)$ ; thus we have  $z \in C_r$ . We denote by  $\kappa_z$  the path from  $\zeta_n$  through  $z'$  arriving at  $z$  whose image is the union of the circle arc  $\widehat{\zeta_n, z'}$  and the line segment  $[z'z]$ . Let  $(U_n)_n$  be a representative of  $U$ ; then we have

$$\begin{aligned} U_n(z) - U_n(\zeta_n) &= \int_{\kappa_z} U'_n(\xi) d\xi \\ &= \int_{\widehat{\zeta_n, z'}} U'_n(\xi) d\xi + \int_{[z', z]} U'_n(\xi) d\xi. \end{aligned}$$

If  $u_n(z) = \partial_\theta U_n(z)$ , then  $(u_n)_n$  is a representative of  $u$  and

$$U'_n(\xi) = -i \frac{\partial_\theta U_n(\xi)}{\xi} = -i \frac{u_n(\xi)}{\xi},$$

whence we find that

$$U_n(z) - U_n(\zeta_n) = -i \int_{\widehat{\zeta_n, z'}} \frac{u_n(\xi)}{\xi} d\xi - i \int_{[z', z]} \frac{u_n(\xi)}{\xi} d\xi.$$

The length  $|\theta - \theta_n|$  of  $\widehat{\zeta_n, z'}$  will be chosen such that  $|\theta - \theta_n| \leq \pi$ . We notice that  $|z - z'| < \max(1 - \frac{1}{r}, r - 1) = r - 1$  since  $r > 1$ . Then, using  $1/|\xi| < r$  if  $\xi \in [z', z]$  and  $|\xi| = 1$  if  $\xi \in \mathbb{T}$ , we find that

$$\begin{aligned} |U_n(z) - U_n(\zeta_n)| &\leq |\theta - \theta_n| \sup_{\xi \in \widehat{\zeta_n, z'}} \left| \frac{u_n(\xi)}{\xi} \right| + |z - z'| \sup_{\xi \in [z', z]} \left| \frac{u_n(\xi)}{\xi} \right| \\ &\leq |\theta - \theta_n| \sup_{\xi \in \mathbb{T}} |u_n(\xi)| + (r - 1)r \|u_n\|_r. \end{aligned}$$

Thus we get

$$|U_n(z) - U_n(\zeta_n)| \leq (\pi + (r - 1)r)\|u_n\|_r. \quad (32)$$

Let  $(\tau_n)_n$  be a representative of  $\tau$ . Writting  $w(\zeta) = \tau$ , we find that

$$\beta = -(1 - s)U(\zeta) + \tau^{s-1}$$

and then, for every  $\lambda \in \mathbb{R}_-$ ,

$$\begin{aligned} |(1 - s)U_n(z) + \beta_n - \lambda| &= |(1 - s)U_n(z) - (1 - s)U(\zeta_n) + \tau_n^{s-1} - \lambda| \\ &= |(1 - s)(U_n(z) - U(\zeta_n)) + \tau_n^{s-1} - \lambda| \end{aligned}$$

where  $\beta_n = -(1 - s)U(\zeta_n) + \tau_n^{s-1}$ . It follows that

$$|(1 - s)U_n(z) + \beta_n - \lambda| \geq |\tau_n^{s-1} - \lambda| - |1 - s|(\pi + (r - 1)r)\|u_n\|_r$$

and then

$$d_r((1 - s)U_n + \beta_n) \geq d(\tau_n^{s-1}) - |1 - s|(\pi + (r - 1)r)\|u_n\|_r.$$

There exists  $n_0 \in \mathbb{N}^*$  such that  $\|u_n\|_r < (\nu(u) + \varepsilon)^n$  if  $n > n_0$ , whence

$$d_r((1 - s)U_n + \beta_n) \geq d(\tau_n^{s-1}) - |1 - s|(\pi + (r - 1)r)(\nu(u) + \varepsilon)^n$$

for  $n > n_0$ . It follows from (31) that  $|1 - s|(\pi + (r - 1)r) < c$ . Then, using (29) we get that  $d_r((1 - s)U + \beta) \geq \alpha$  which shows that  $d_r((1 - s)U + \beta)$  is invertible. Thus  $w$  is well defined by (30) and is a solution to (28).

□

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