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## Article

# Growth, Xylem Vulnerability to Cavitation and Leaf Cell Response to Dehydration in Tree Seedlings of the Caribbean Dry Forest

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**Abstract:** The characterisation of ecological strategies to predict drought response is still lacking for Caribbean dry forest seedlings. This study documents growth characteristics and tolerance to drought via xylem hydraulic and leaf cell properties of three dominant native species of the Caribbean dry forest. Twenty morphological and physiological traits were assessed in *Citharexylum spinosum*, *Guaiacum officinale* and *Guapira fragrans* in greenhouse conditions. The seedlings displayed contrasting growth rates, which were positively correlated with the capacity to quickly develop a large leaf area and root fraction. The three species had a similar xylem tolerance to embolism ( $P_{50}$ :  $-4$  MPa) but differed in leaf cell tolerance to dehydration, which was negatively correlated with RGR ( $R^2 > 0.87$ ). The slowest-growing, *G. officinale*, had high leaf tolerance to cell dehydration due to low  $\Psi_{TLP}$  and  $\pi$ , but displayed a narrow hydraulic safety margin. The leaves of the fast-growing *C. spinosum* were sensitive to leaf dehydration but exhibited a surprisingly wide stem hydraulic safety margin. *G. fragrans* had intermediate traits. Our results showed that dry forest seedling growth in similar environments can exhibit distinct carbon growth strategies as well as contrasting water-use strategies, primarily as they relate to drought resistance, due to variation in root development and leaf cell resistance to dehydration. Our study thus provides an approach to estimate species performance under drought conditions.

**Keywords:** *Citharexylum spinosum*; drought; ecological strategy; *Guaiacum officinale*; *Guapira fragrans*; hydraulic failure; hydraulic safety margin; leaf gas exchange; turgor loss point



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## 1. Introduction

In the current context of biodiversity crises and global change, forest conservation and restoration are recognised as a global priority [1]. Historically, in tropical areas, even though moist forests have received considerably less attention, their dry counterparts received little interest [2]. Tropical dry forests (TDFs) are still often neglected in global studies of forest ecosystems [3] and remain poorly known, whereas they are recognised as the most threatened of tropical terrestrial ecosystems worldwide [4]. TDFs represented 42% of tropical forests 40 years ago [5], but anthropogenic disturbance (timber and charcoal extraction) and land conversion to agriculture turned remaining TDFs into secondary forests or cattle pastures [2]. In this fragile and highly fragmented in its global distribution ecosystem, natural recovery is slow and uncertain [6,7] and many species are critically endangered [8]. More than half of all TDFs are found in the neotropics, with over 9% of these in the insular Caribbean [9]. This biodiversity hotspot [10] covers 0.5% of the total neotropical land area and thus makes a disproportionate contribution to global TDF cover. Dry forests of the insular Caribbean are floristically and structurally distinct from those

of continental systems, displaying high endemism and shorter height [5,11]. Caribbean islands are also identified as a climate hotspot [12] where TDFs are threatened by the increased drought risk brought by climate change [13,14]. The predicted cumulative effect of recurring short-term droughts could affect TDF species' survival, distribution and development [15,16].

The understanding of plant ecological strategies, including response to drought in TDFs, is of crucial importance to both promote resilient restoration, as a nature-based solution to mitigate climate change, and predict the future dynamics of TDFs [17]. Most studies aiming to characterise TDF ecological strategies focus on the adult stage [18,19], and less on the seedling stage [20,21]. However, the ontogenetic shifts reported in traits for trees indicate that strategies identified in adults cannot be extended to their seedlings [22,23]. Seedlings are particularly exposed to water stress because the seedlings' root systems are confined to the drier, upper soil layers and have limited access to water [24,25]. Soil drought is also one of the most important factors affecting seedling mortality, growth and recruitment [24–26]. Seedlings of TDF species are known to present adaptive traits and form deeper roots and a much larger root/shoot ratio compared to species from tropical wet forests, which could be a strategy to tolerate periods of soil drought [21]. Thus, the role of drought tolerance in promoting establishment requires particular attention because of its direct impact on survival in the early life stages, regeneration, community assembly dynamics and also forest structure and species composition [27,28] in TDF.

Species living under similar environmental conditions (i.e., light and water availability) may differ in growth potential and drought response [29–31]. They rank along an acquisitive-conservative strategy continuum of ecological strategies, identified at adult and seedling stage, resulting from a pattern of trait covariation that captures the balance between construction costs and growth potential [32]. Species with higher growth rates (RGR) have a strategy of fast 'carbon acquisition' that often involves greater carbon assimilation rate, leaf area and specific leaf area [33]. Species with slower growth rates follow a 'conservative' strategy; they usually exhibit opposite characteristics, such as lower carbon assimilation rate, leaf area and specific leaf area. Fast-growing trees are often pioneer species, displaying low wood density, deciduous foliage and large stature. Slow-growing species are often climax species and tend to have high wood density, evergreen foliage and small stature [34,35].

Few studies explain the physiological responses of seedlings affected by the disruption of the water fluxes due to drought. An adjustment in leaf stomatal conductance, osmotic potential, tissue elasticity and xylem conductance has been described [36]. TDF species differ widely in their response to drought [37]. Drought affects cavitation in the xylem vessels, which restricts the flow of water into the plant [38], and loss of turgor of leaf cells, which may result in wilting [39]. According to several studies, xylem tolerance to cavitation plays a significant role in drought tolerance in tropical biomes [15,40]. To measure xylem hydraulic tolerance to cavitation, a variety of methods, aiming to establish vulnerability curves (i.e., bench dehydration, centrifugation, pressure sleeve or Cavitron) have been developed and tested over the years [41]. The most reliable of those that avoid experimental artifacts consists in experimentally constructing the curve of xylem vulnerability to drought-induced embolism on stem samples drying out in the laboratory [41]. The water potential that results in a 50% loss of conductivity ( $P_{50}$ ) is a parameter commonly used to assess plant tolerance to embolism [38,39].

A complementary *ex situ* approach used to investigate plant response to drought focuses on leaf cell tolerance to drought, assessed according to parameters of the pressure–volume (P–V) curve. This corresponds to the curve fitted to the variation in leaf water potential in relation to leaf water content during progressive leaf dehydration. Several parameters are extracted from this curve, such as the leaf water potential at turgor loss point ( $\Psi_{TLP}$ ), the osmotic potential at full turgor ( $\pi_0$ ) and the bulk modulus of cell elasticity ( $\epsilon$ ).  $\Psi_{TLP}$  is another key trait that contributes to plant-level drought tolerance [40,42]. For

example, the more negative  $\Psi_{TLP}$  is, the wider is the range of water potentials at which the leaf stays turgid and functional [39,42].

Plant tolerance to desiccation can thus be appreciated by studies on xylem cavitation and cell turgor using *ex situ* methods involving stem or leaf dehydration in the laboratory without setting up a factorial design experiment (well-irrigated plants versus non-irrigated plants under water stress). Determining  $P_{50}$  and  $\Psi_{TLP}$  can also help to predict the effects of drought on species mortality. The risk of plant hydraulic failure during drought can be estimated as the difference between  $\Psi_{TLP}$  and the water potential that causes xylem dysfunction ( $P_{50}$ ), called the TLP-based hydraulic safety margin (HSM) [43].

Since stomatal regulation prevents excessive embolism but also reduces  $CO_2$  acquisition, a plant's hydraulic traits are highly correlated with its capacity to assimilate carbon [44–46]. Consequently, plant hydraulics could play an important role in a plant's position along the acquisitive–conservative continuum in tropical forests [46,47]. In TDFs, the few studies that have examined the links between plant hydraulic traits and carbon assimilation and use revealed contrasting results, ranging from no correlation in 12 Mexican seedlings [48] to significant correlations in 13 Bolivian seedlings [49]. Further investigations are therefore required to document these functional relationships at the early stages.

We considered how morphological and physiological traits influence growth rates in three TDF species, using a greenhouse experiment. We tested the hypothesis according to which Caribbean TDF seedlings with contrasting growth strategies should also exhibit distinct drought tolerance traits, in the stem and/or leaf. We expected acquisitive species to invest in fast growth and associated traits at the expense of a more vulnerable hydraulic system, and more conservative species to be more drought resistant. In order to understand the drought response of TDF species, we quantified and compared growth rates and tolerance to dehydration in seedlings of three dominant tree species of the Caribbean dry forest (*Citharexylum spinosum*, *Guaiacum officinale* and *Guapira fragrans*). We analysed factors likely to govern biomass accumulation, such as morphology, biomass allocation and photosynthesis. Plant drought tolerance was studied by monitoring the effects of experimentally induced dehydration on plant fragments (stem, leaf) *ex situ*, in the laboratory.

Because approaches that combine functional traits with whole plant performance are scarce, this study, which includes the selection of a set of complete, relevant traits, will contribute to our understanding of how traits influence fitness in TDF, a poorly known ecosystem. This study should be impactful in terms of its contribution to the field because the trait data used are time intensive to collect, which limits their popularity, yet they are critically important for understanding how plants adapt to drought conditions.

## 2. Materials and Methods

### 2.1. Plant Material and Experimentation

The three native canopy species selected for this experiment are widely distributed in the neotropics and are dominant species in three different stages (pioneer, intermediate and climax species) of the natural TDF succession [50]. The pioneer species, *Citharexylum spinosum* (L.), is a heliophilous, fast-growing semideciduous species. The species from the intermediate succession stages, *Guapira fragrans* (Dum. Cours.), is a ubiquitous evergreen species, widely distributed from moist to dry forests, with a medium growth rate. Because of their fast and abundant seed production and their wide ecological tolerance, both are potentially suitable for dry forest restoration programmes. *C. spinosum* is also highly recommended in soil bioengineering for riparian forest restoration [51]. The climax species, *Guaiacum officinale*, is an evergreen species with a slower growth rate. *G. officinale* is a threatened hardwood species with high ecological value. It has also been included in the red list of IUCN since 1993 and local programmes of population reinforcement are scheduled for conservation purposes. It grows exclusively in extremely dry areas of the neotropical dry forest.

The experiments were carried out at the University of French West Indies (Pointe-à-Pitre, Guadeloupe FWI, 16°13'27" N, 61°31'44" W) from June to September 2013 under

semi-controlled conditions. The seeds were collected in the Botanical Park of Petit Canal, where annual precipitation rank between 900 and 1400 mm, with 10 months of water deficit and pre-sown in small pots. Two weeks later, 30 individuals of each species with similar heights were selected and individually transferred to 1.5 L pots (diameter: 9 cm; height: 25 cm) containing commercial peat (pH H<sub>2</sub>O: 6; N: 120 mg L<sup>-1</sup>; P<sub>2</sub>O<sub>5</sub>: 140 mg L<sup>-1</sup>; K<sub>2</sub>O: 160 mg L<sup>-1</sup>) and 30 g of physiolith (Secma eurofertil, CaCO<sub>3</sub>: 80%, MgCO<sub>3</sub>: 7%, amino purine molecules: 3%) to neutralise peat pH and prevent deficiencies in trace elements. In the greenhouse, the seedlings were watered to field capacity every two days and exposed to 50% of the daylight. Mean daily air temperature, relative humidity and solar radiation in the greenhouse during growth were respectively 38.4 ± 7.3 °C, 73.8 ± 4.7% and 42.1 ± 54.8 J cm<sup>-2</sup>.

After 18 weeks of development, at the end of the experiment, four seedlings per species were used for the biomass measurements, fifteen for constructing the xylem vulnerability curve, five for leaf traits and plant transpiration analyses and five for establishing the P-V curve (detailed below). The abbreviations and units used for the traits studied are summarised in Table 1. The number of individuals used for each trait are summarised in Figure 1.

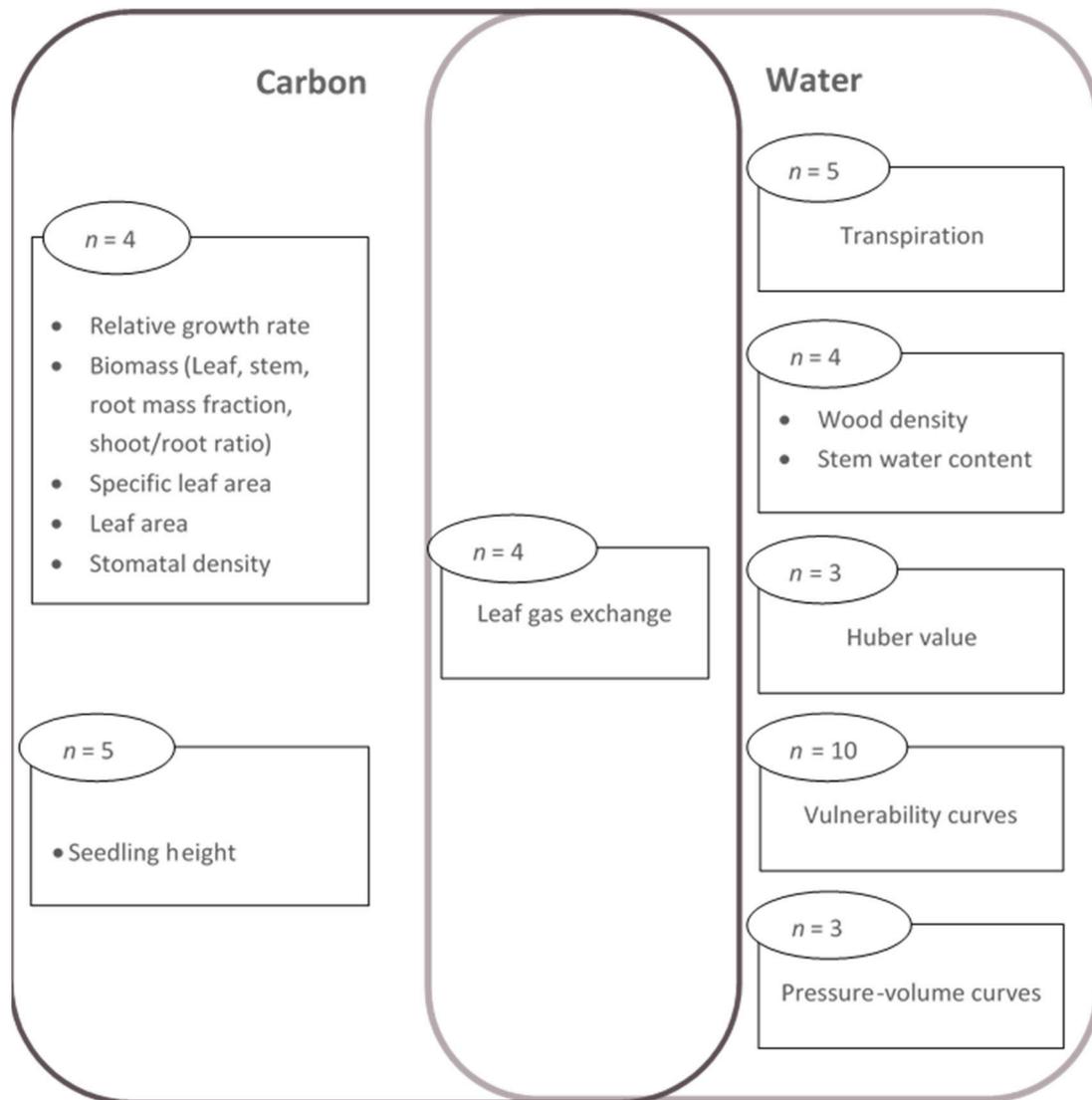
**Table 1.** Symbols and units for all traits concerning biomass, leaf gas exchange, leaf morphology and anatomy, wood traits, hydraulics and pressure–volume curve parameters.

Abbreviation	Name	Unit
A <sub>tot</sub>	Total carbon assimilation rate at the scale of the whole plant	μmol s <sup>-1</sup>
A <sub>sat</sub>	Light-saturated rate of carbon assimilation	mmol m <sup>-2</sup> s <sup>-1</sup>
D <sub>s</sub>	Stomatal density	n m <sup>-2</sup>
g <sub>s</sub>	Stomatal conductance	mmol m <sup>-2</sup> s <sup>-1</sup>
H <sub>v</sub>	Huber value (sapwood area/leaf area)	(×10 <sup>-4</sup> ) cm <sup>-2</sup> cm <sup>-2</sup>
HSM	Hydraulic safety margin	MPa
LA	Leaf area unit	cm <sup>2</sup>
LIR	Leaf initiation rate	n day <sup>-1</sup>
LMF	Leaf mass fraction (leaf mass/plant mass)	%
P <sub>50</sub>	Pressure causing 50% loss of conductance	MPa
PLC	Percent loss of hydraulic conductivity	%
PPFD	Photosynthetic photon flux density	μmol (photon) m <sup>-2</sup> s <sup>-1</sup>
RGR	Relative growth rate	g g <sup>-1</sup> wk <sup>-1</sup>
RMF	Root mass fraction (root mass/plant mass)	%
s	Slope of the vulnerability curve	% MPa <sup>-1</sup>
SLA	Specific leaf area (leaf area/leaf mass)	cm <sup>2</sup> g <sup>-1</sup>
SMF	Stem mass fraction (stem mass/plant mass)	%
SWC	Stem water content	%
SWD	Stem wood density	g cm <sup>-3</sup>
ε	Bulk modulus of elasticity	MPa
π <sub>o</sub>	Osmotic potential at full turgor	MPa
Ψ	Leaf water potential	MPa
Ψ <sub>TLP</sub>	Leaf water potential at the turgor loss point	MPa

## 2.2. Morphometric and Biomass Measurements

Stem height above the hypocotyl was measured on five individuals of each species. Leaf area was measured with a leaf area meter (Licor3000C, Li-Cor, NE, USA, maximum accuracy = 0.01 cm<sup>2</sup>). All the adult leaves of each individual (totalling 30, 10 and 8 leaves for *C. spinosum*, *P. fragrans* and *G. officinale*, respectively) were oven-dried at 75 °C for 48 h and then weighed using a precision balance (Sartorius, CPA 225D, Germany). SLA was calculated as the ratio of leaf area to leaf dry weight. Stomatal density (abaxial and adaxial) and ostiole length were obtained from epidermal imprints of fresh leaf surfaces made with clear nail polish on four leaves, each from a different individual, per species. The measurements were carried out on photographs taken with an optical microscope (Nikon

Eclipse 80i and camera Nikon digital DXM 1200F, Nikon instrument Europe BV). Counts were performed with the Mesurim pro 3.4 software (3.4 ©J-F. Madre, Academy of Amiens, Amiens, France).



**Figure 1.** Overview of traits related to carbon and water management. The number of replicates used is indicated in the circles (the number of individuals considered per species for each group of traits).

For biomass measurements, 15 seeds per species were oven-dried at 75 °C for one week and weighed to obtain seed mass. The roots, stems (including branches) and leaves of four individuals were separated and dried for 48 h at 75 °C. The leaf, stem and root mass fractions (respectively, LMF, SMF and RMF, i.e., dry mass per unit of dry plant mass in %) and the shoot/root ratio were calculated. Relative growth rate (RGR in  $\text{g g}^{-1} \text{wk}^{-1}$ ) over the study intervals was also calculated for each plant, with the following formula:

$$\text{RGR} = \frac{\ln M_f - \ln M_i}{T}$$

where  $M_i$  and  $M_f$  represent, respectively, the initial and final above-ground biomass and  $T$  is the corresponding time interval in weeks, i.e., 18 [52]. The initial above-ground biomass was equal to the seed mass.

### 2.3. Leaf Gas Exchange

The measurements of leaf gas exchanges were conducted between 9:00 and 12:00 (solar time) on the most recent fully expanded and sun-exposed leaf of four randomly selected seedlings per species. The response curves were established using a portable IRGA system (Ciras-2, PP systems, Hitchin, UK). For the A-light response curve, the photosynthetic photon flux density (PPFD) was adjusted to 2000, 1500, 1000, 750, 500, 200, 100, 50 and 0  $\mu\text{mol m}^{-2} \text{s}^{-1}$ . All measurements were conducted at a constant  $\text{CO}_2$  concentration of 380 ppm and at a constant leaf temperature of 25 °C. From these relationships, the light-saturated net photosynthesis rate ( $A_{\text{sat}}$ ) was obtained using the Lobo et al. (2013) [53] spreadsheet. Maximal stomatal conductance (gs) was recorded.

For each plant,  $A_{\text{tot}}$  was calculated as  $A_{\text{sat}} \times \text{Total plant LA}$ .

### 2.4. Wood Density, Stem Water Content and Huber Value

Following Simpson (1993) [54], stem wood density (SWD) was measured on four stems per species as the ratio of dry weight to fresh volume. Stem water content (SWC) was calculated as follows:

$$\text{SWC} = \frac{W_f - W_d}{W_d} \times 100$$

where  $W_f$  is the fresh weight obtained after complete hydration in distilled water during five days (at 6 °C) and  $W_d$  is the dry weight after 7 days in the oven at 75 °C. Weight was measured on an electronic balance (Sartorius, CPA 225D, Germany, 0–100  $\pm$  0.00001 g).

The Huber value ( $H_v$ ) corresponds to the sapwood-to-leaf ratio, which describes the balance between transpiration and sapwood water supply. Leaf and sapwood areas were determined destructively from three individuals per species. Sapwood was distinguished from heartwood by staining with phloxine dye, because of its ability to migrate rapidly upwards, but also to not diffuse passively beyond the conductive zone, using the free transpiration method [55,56]. The stem section at collar level was cut under water and dipped into a beaker of 2% aqueous solution of Phloxine B (Sigma Aldrich, Burlington, NJ, USA). Sapwood area was measured at the penultimate internode level. The individuals were allowed to transpire for 10–20 min. Photomicrographs of fresh cuts (Nikon Eclipse 80 i and camera Nikon digital DXM 1200F, Nikon instrument Europe BV, Amsterdam, The Netherlands) were processed using the Mesurim software to measure the stained area. Leaf area was assessed as above by measuring all the leaves present beyond the cut (between cut section and apical meristem).

### 2.5. Pressure–Volume Curves

Pressure–volume curves were constructed using the most recently fully expanded leaf of three seedlings. The leaves, with their petiole, were cut in deionised water at dawn, then wrapped in plastic and re-hydrated in water overnight, in the dark. Pressure–volume curves were constructed by measuring leaf water potential and weight as leaves were dried by progressively increasing the pressure in a pressure chamber. Measurements were continued until at least five data points were recorded after the turgor loss point. Leaves were then oven-dried at 70 °C for 48 h and weighed with an electronic balance (Sartorius, CPA 225D). The parameters obtained were the osmotic potential at full turgor ( $\pi_0$ ), the water potential at the turgor loss point ( $\Psi_{\text{TLP}}$ ) and the bulk modulus of elasticity ( $\epsilon$  (Mpa)). This last parameter was determined following Schulte (1985) [57] using the expression:

$$\epsilon = \frac{\Delta P}{\Delta \text{RWC}}$$

where  $\Delta P$  is the change in turgor pressure (MPa) and  $\Delta \text{RWC}$  is the change in relative water content between full turgor and zero turgor.

## 2.6. Xylem Embolism Vulnerability Curves

The vulnerability curves show the percentage loss in hydraulic conductivity as a function of xylem water potential. They were established following the bench dehydration method of Sperry et al. (1988) [58]: the seedlings were cut and left to dehydrate freely in an air-conditioned room at 25 °C. Five petioles/rachises per seedling were measured in sequence of increasing dehydration. After each dehydration increment, the seedlings were placed in a black plastic bag for 6 h to allow the water potential of leaves and stems to reach equilibrium. Pressure was estimated with a pressure chamber (PMS1000, PMS Instrument Co., Corvallis, OR, USA) on three leaves prior to embolism estimation. Petioles were inserted in an XYL'EM (Bronkhorst, Montigny-Les-Cormeilles, France) flow meter manifold attached to a pressurised reservoir filled with a flow solution of 10 mM of KCl in degassed and filtered (0.2 µm) distilled water. Solution injection always followed the direction of natural water flow from sample base to top. The native conductance  $K$  at low pressure (6 kPa) was measured first and then the maximum conductance  $K_{max}$  after flushing at high pressure (0.1 Mpa). The percentage loss of hydraulic conductivity (PLC) was calculated using the equation of Tyree and Sperry (1989) [38]:

$$PLC = 100 \times \left( 1 - \frac{(K)}{K_{max}} \right)$$

PLC values were plotted against mean xylem pressure to draw the vulnerability curve for each species, and a sigmoid exponential function was fitted to the experimental data obtained [59]:

$$PLC = \frac{100}{1 + \exp\left(s \times \frac{P - P_{50}}{25}\right)}$$

where  $P_{50}$  is the pressure causing a 50% loss of hydraulic conductivity and  $s$  is the slope parameter.  $P_{50}$  and  $s$  were estimated for each species using the Xlstat software V. 2022.4.1 (Addinsoft, France).

## 2.7. TLP-Based Hydraulic Safety Margin

The TLP-based hydraulic safety margin (HSM) is the risk that a plant will experience hydraulic failure in the driest conditions it normally experiences. It can be defined as the difference between water potential at stomatal closure and  $P_{50}$  [60]. This safety margin quantifies the extent to which early stomatal closure prevents the risk of hydraulic failure for a species [61]. Although stomatal closure occurs before leaf turgor loss during drought,  $\Psi_{TLP}$  is an indicator of the water potential at stomatal closure across species [62]. Therefore, using this definition, HSM is calculated as  $\Psi_{TLP} - P_{50}$ .

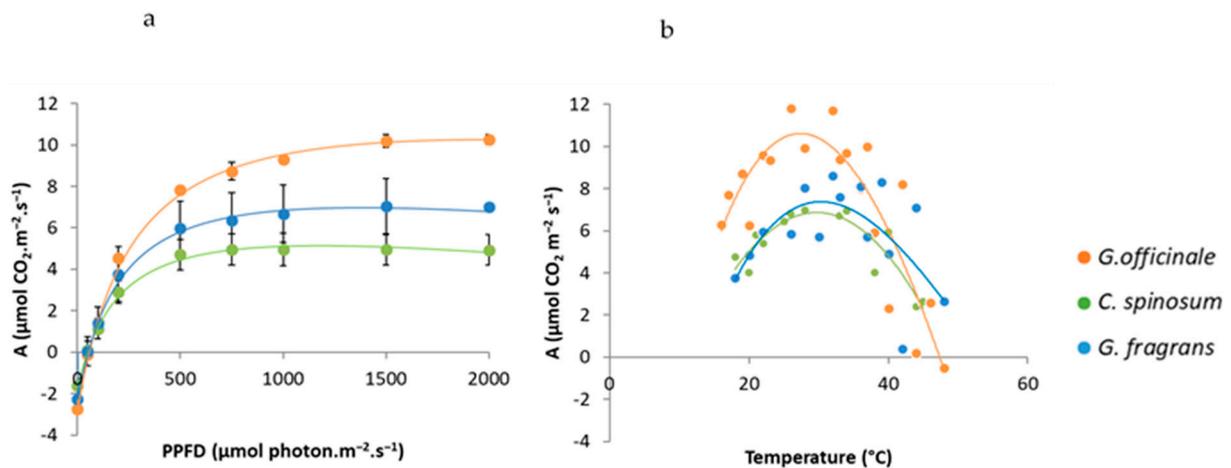
## 2.8. Plant Transpiration

Nocturnal and diurnal plant transpiration ( $\text{mg m}^{-2} \text{s}^{-1}$ ) was measured by successive weighing over 24 h. In five individuals of each species, the pot was bagged (leaving out the shoot) just after nightfall and weighed using a balance Sartorius Signum 1 (Germany, 0–7000 ± 0.1 g). The purpose of bagging is to avoid loss of water through soil evaporation. All individuals were weighed again the following morning at sunrise and again just after nightfall. For each seedling, weight loss per unit of time and per unit of leaf area corresponds to the water lost by transpiration.

## 2.9. Statistical Analyses

Statistical analyses were performed with the Xlstat software (Addinsoft, V. 2022.4.1) to test the specific differences between means of individual groups; sample sizes ( $n$ ) are shown in Figure 1. One way analysis of variance (ANOVA) in combination with a post hoc Tukey's multiple comparison was used to test for significant differences in traits of leaf morphology, plant water status, leaf physiology, carbon allocation and carbon acquisition in seedlings across species. In all statistical analyses, values were considered significantly





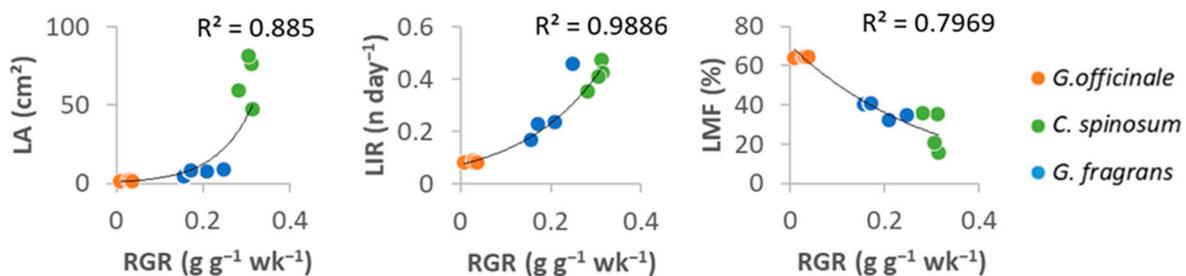
**Figure 4.** Photosynthetic response curves to light (a) and temperature (b);  $n = 4$  for each curve; bars represent SE.

*C. spinosum* had the fastest RGR (mean  $0.303 \text{ g g}^{-1} \text{ wk}^{-1}$ ), with biomass roughly equally distributed among the leaf, stem and root compartments (mean LMF, SMF and RMF all close to 33%). This species also exhibited the fastest leaf initiation rate, with roughly three leaves emitted per week, and reached the greatest height (mean 81 cm) after 5 months of growth. Even though its  $A_{\text{sat}}$  per leaf unit was the lowest (mean  $4.8 \mu\text{mol m}^{-2} \text{ s}^{-1}$ ), the fact that its total leaf area was the greatest (mean  $2627 \text{ cm}^2$ ) gave *C. spinosum* the highest  $A_{\text{tot}}$  at the scale of the whole plant (mean  $14 \mu\text{mol s}^{-1}$ ).

At the opposite end of the scale, *G. officinale* exhibited the lowest biomass (mean 0.38 g), RGR (mean  $0.026 \text{ g g}^{-1} \text{ wk}^{-1}$ ) and leaf initiation rate, with roughly half a leaf emitted per week. Biomass (mean 89%) was mainly invested in the shoot. *G. officinale* was also the smallest species, with a mean height of 8 cm at the end of the experiment. *G. officinale* had the highest mean  $A_{\text{sat}}$  ( $9.9 \mu\text{mol m}^{-2} \text{ s}^{-1}$ ), but since it had the lowest total leaf area (mean  $69 \text{ cm}^2$ ) it also displayed the lowest  $A_{\text{tot}}$  (mean  $0.5 \mu\text{mol s}^{-1}$ ).

*G. fragrans* displayed intermediate values in biomass (mean 1.45 g), RGR (mean  $0.195 \text{ g g}^{-1}$ ), leaf initiation rate (1.5 leaves per week),  $A_{\text{sat}}$  (mean  $6.7 \mu\text{mol m}^{-2} \text{ s}^{-1}$ ), total leaf area (mean  $222 \text{ cm}^2$ ) and height (mean 33 cm). Its biomass allocation pattern was similar to that of *C. spinosum*, with mean LMF, RMF and SMF between 23 and 39%, whereas its mean  $A_{\text{tot}}$  was close to that of *G. officinale* (mean  $1.7 \mu\text{mol s}^{-1}$ ).

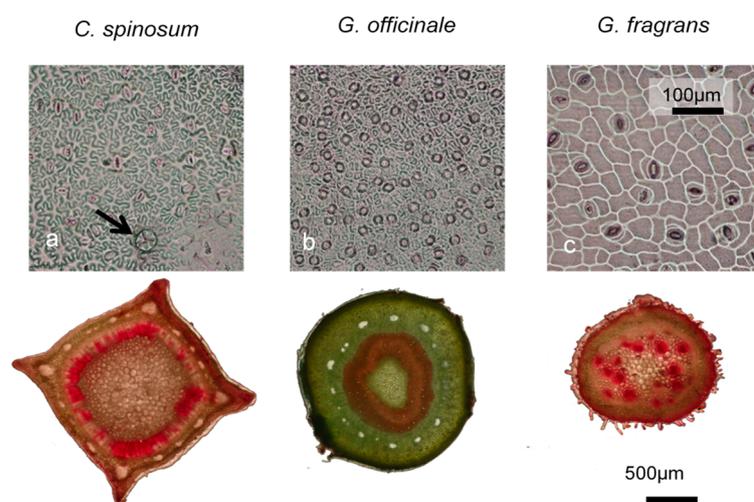
RGR was positively correlated with several morphological leaf traits (i.e.,  $R^2 > 0.8$  for LIR, LA and LMF with  $p < 0.05$ , Figure 5, Supplementary Materials Table S2). RGR also increased with the plant's ability to develop its root system (low LMF,  $R^2 = -0.95$ ,  $p < 0.0001$ ).



**Figure 5.** Relationships between relative growth rate (RGR) and leaf initiation rate (LIR), leaf area (LA) and leaf mass fraction (LMF) in seedlings of the three species studied (four individuals per species). See Supplementary Materials Table S2 for Pearson correlation matrix of traits.

### 3.2. Water Management

Clear differences in water use and drought tolerance traits appeared between leaves of *G. officinale* on the one hand and those of *G. fragrans* and *C. spinosum* on the other. *G. officinale* displayed higher Huber value, stomatal density and stomatal conductance than both *G. fragrans* and *C. spinosum* (Figure 6). As can be seen in the parameters obtained from the pressure–volume curves (Figure 7, Supplementary Materials Table S3), *G. officinale* had the lowest osmotic potential at full turgor (mean  $-2.79$  MPa), leaf water potential at turgor loss point (mean  $-3.04$  MPa) and the highest elasticity modulus (mean  $32.7$  MPa). *C. spinosum* and *G. fragrans* exhibited comparable and higher osmotic potential at full turgor (mean  $-1.7$  and  $-1.47$  MPa, respectively) and leaf water potential at turgor loss point (mean  $-1.30$  and  $-1.66$  MPa, respectively), and lower elasticity modulus (mean  $10.9$  and  $15.8$  MPa, respectively). However, *G. fragrans* differed from the other species in wood traits, displaying the highest SWC (mean  $153\%$ ) and the lowest SWD (mean  $0.38$  g  $\text{cm}^{-3}$ ).

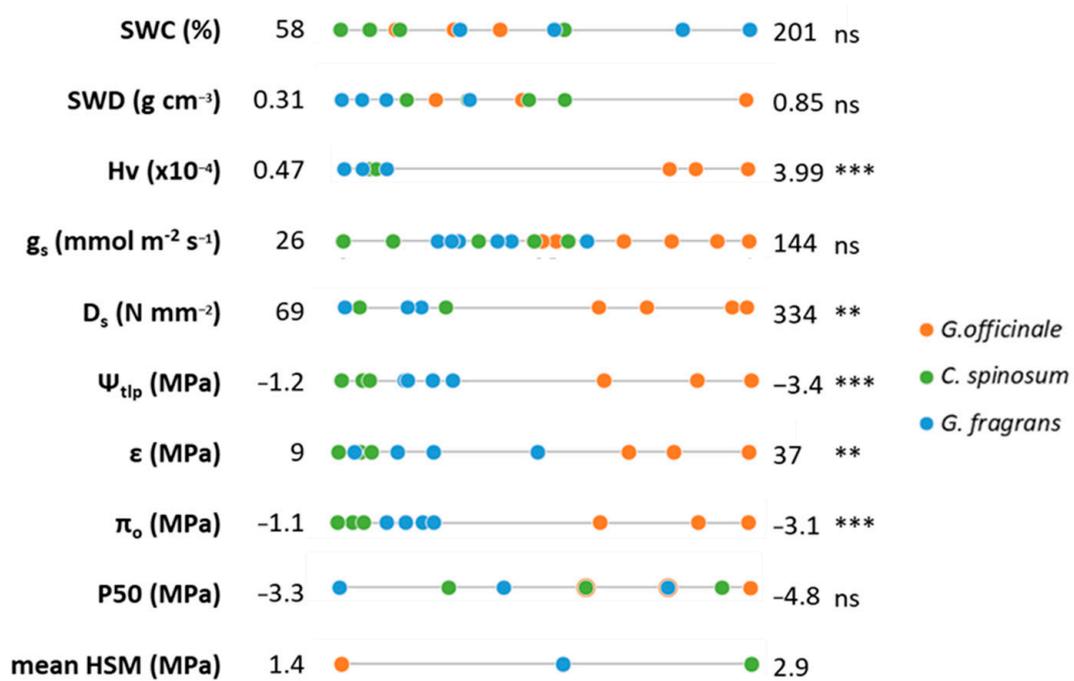


**Figure 6.** Imprints of the abaxial side of leaves of *C. spinosum* (a), *G. officinale* (b) and *G. fragrans* (c) showing density and size of stomata. Wood cross section after phloxine treatment showing the fraction of functional xylem vessels coloured in red; *C. spinosum* is the only species to possess trichomes (arrow). *G. officinale* had the smallest stomata, the highest stomatal density and the greatest stem cross section devoted to water transport.

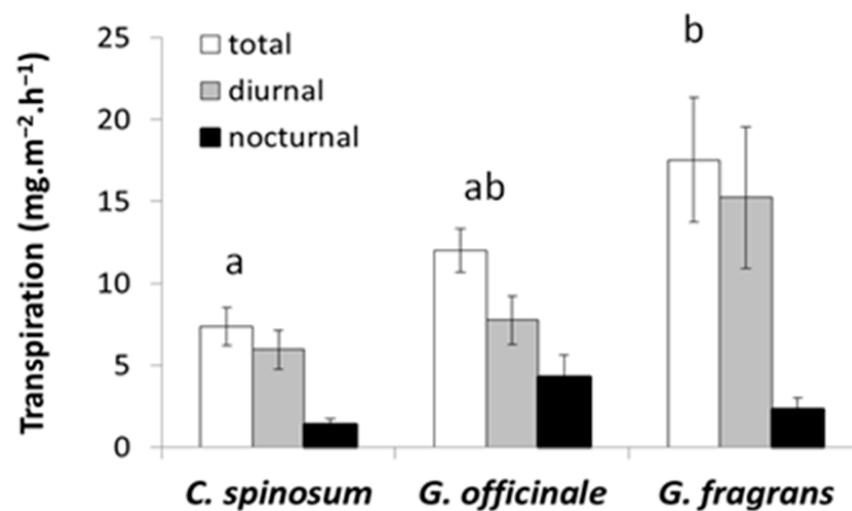
Xylem vulnerability curves did not show any interspecific variations and  $P_{50}$  values were similar in all three species. Consequently, HSM was lowest in *G. officinale* (mean  $1.46$  MPa), greatest in *C. spinosum* (mean  $2.9$  MPa) and intermediate in *G. fragrans* (mean  $2.2$  MPa).

Total plant transpiration differed between species and nocturnal transpiration rate appeared fairly high in all three species (Figure 8). *C. spinosum* had the lowest total transpiration rate (mean  $7.5$   $\text{mg m}^{-2} \text{s}^{-1}$ ) whereas *G. fragrans* had the highest (mean  $17.3$   $\text{mg m}^{-2} \text{s}^{-1}$ ). *G. officinale* exhibited intermediate values with a mean total transpiration rate of  $13.3$   $\text{mg m}^{-2} \text{s}^{-1}$ , but its nocturnal transpiration rate was the highest (mean  $4.3$   $\text{mg m}^{-2} \text{s}^{-1}$ ), a rate almost four times that measured in *C. spinosum*, the species with the lowest nocturnal transpiration rate.

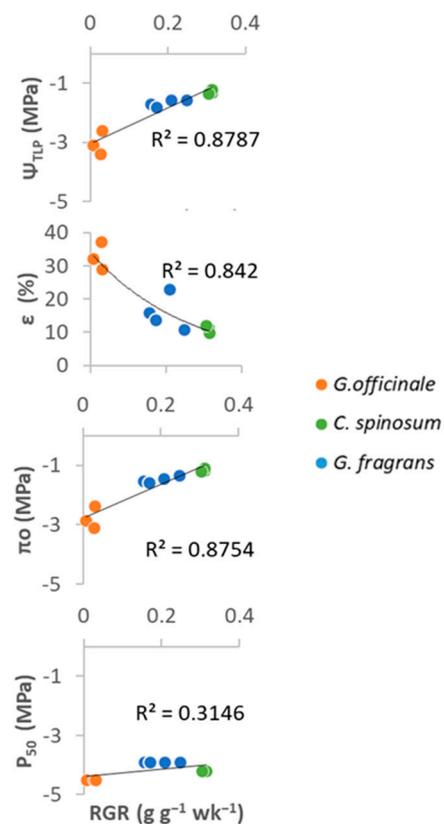
Significant correlations appeared between RGR and leaf drought tolerance traits at the leaf level, and *C. spinosum*, which had the highest RGR, tended to exhibit a higher  $\pi_0$  and  $\Psi_{\text{tlp}}$  ( $R^2 > 0.93$ ,  $p < 0.0001$ ). However, no relationship was found between RGR and  $P_{50}$  (Figure 9, Supplementary Materials Table S2).



**Figure 7.** Plant traits with respect to water relations: wood and stomatal traits, pressure–volume parameters ( $\pi_o$ : osmotic potential at full turgor,  $\Psi_{TLP}$ : water potential at turgor loss point and  $\epsilon$ : elasticity modulus) and hydraulic traits ( $P_{50}$  and mean HSM). For each trait, significant differences between species are indicated (\*\*  $p < 0.01$ , \*\*\*  $p < 0.001$  ns: non significant). See Table 1 for acronyms.



**Figure 8.** Diurnal, nocturnal and total transpiration rates per unit of leaf area in the three species. Bars represent the standard error. The superscript letters designate species with significantly different total transpiration rates according to the ANOVA and Tukey's test ( $p < 0.05$ ).



**Figure 9.** Relationships between relative growth rate (RGR) and four drought tolerance traits in seedlings of the three species studied (four individuals per species).

#### 4. Discussion

In conditions of high water availability, the differences observed between the three species studied in carbon acquisition and use result from variation in leaf development. *C. spinosum* was the most acquisitive species, *G. officinale* displayed more conservative traits and *G. fragrans* had an intermediate strategy. This diversity also corresponded to contrasting strategies with respect to drought tolerance. Unexpectedly, the three species were fairly similar regarding vulnerability to cavitation. However, due to significant disparities in their  $\Psi_{TLP}$ , the risk of plant hydraulic failure during drought differed, being lower in *C. spinosum*, higher in *G. officinale* and intermediate in *G. fragrans*.

##### 4.1. Different Growth Strategies in Seedlings of the Caribbean Dry Forest

In this study, we used traits associated with light capture and use, water use, and growth. Differences in trait co-variation patterns can reflect differences in resource-use strategies among co-occurring species. The fast-growing species *C. spinosum* maximised its total leaf area with large leaves (55 cm<sup>2</sup>) and a high rate of leaf initiation (three leaves per week), and this was associated with fast reproductive maturity (flowering at 4 months, results not shown). The large surface area intercepting sunlight ensures high total carbon fixation rate despite low photosynthetic activity in this species. It also appears that *C. spinosum* has an efficient acquisitive strategy regarding water, light and soil nutrients due to fast root development. This potential for growth certainly confers an advantage in competing with other species and in opportunistically achieving fast growth during the rainy season.

At the opposite end of the scale, *G. officinale* had the slowest RGR and the smallest total leaf area, 40 times less than *C. spinosum*. Despite high carbon allocation to leaves and the greatest  $A_{sat}$ , the carbon fixation potential of *G. officinale* remained low at the scale of the whole plant. This evergreen species has a conservative strategy in keeping with its

usual habitat, a xeric environment with poor soils, typically a rocky reef topped with a thin humus layer [50]. *G. fragrans* displayed intermediate growth rate and leaf traits (i.e., LIR, LA and LMF).

Like *C. spinosum*, *G. fragrans* is a heliophilous species with an early to intermediate position in the natural succession [50]. Such species tend to be opportunistic and fast-growing [33], but our results revealed significant differences that could be linked to distinct leaf habits [35]. *C. spinosum* is a semideciduous species that sheds its leaves at the onset of the dry season and leafs out again quickly, within a few weeks. Like most deciduous tropical species, it exhibited an acquisitive strategy involving a fast return on the costs of foliage construction and maintenance [35]. The evergreen *G. fragrans* displays a more conservative resource-use strategy, which accords with previous studies that have found more conservative leaf traits coupled with long leaf lifespans in evergreen species.

#### 4.2. Interspecific Differences in Water Use and Drought Tolerance

Vulnerability to cavitation was fairly similar in the three species studied, with mean  $P_{50}$  ranging between  $-3.9$  and  $-4.5$  MPa, which are low values comparable with published data on tropical dry forests species [43,63]. This finding is striking, given the wide interspecific differences we observed in RGR and the broad spectrum of  $P_{50}$  values published for tropical forest species (from  $-0.53$  MPa to  $-6.27$  MPa reported among 300 TDF species by Guillemot et al. 2022 [43]). Moreover, we did not find the significant differences in  $P_{50}$  described between semideciduous and evergreen TDF species in other studies [63]. This suggests, as in certain previous reports [49], that stem hydraulics characteristics in TDF species may not necessarily be related to phenological behaviour at the seedling stage.

Conversely, the parameters of the P-V curves revealed several types of leaf response to desiccation. *G. officinale* had a significantly more negative  $\Psi_{TLP}$  than the other two species studied here and most TDF species documented [43,63]. *G. officinale* also displayed a strongly negative  $\pi_0$  value of  $-2.8$  MPa, which denotes a high osmotic accumulation that enables this species to efficiently extract water from a drying soil [39]. This probably contributes to the  $\Psi$  imbalance between plant and soil and to the significant nocturnal transpiration rate observed in this species in well-watered conditions (Figure 8; [64]). The bulk flow of water into the roots during the night could promote nutrient uptake [65], a useful strategy especially in resource-poor environments such as the skeletal soils of Caribbean TDFs. The enhanced transpiration is consistent with the high stomatal density,  $H_v$  and  $g_s$ , which contribute to leaf cooling [66]. *G. officinale* has rigid cell walls (high  $\epsilon$ ), which may be an advantage for maintaining cell integrity during rehydration after a period of drought since it prevents the cell wall from breaking up and the cell from bursting under high turgid cell potential [67]; water storage in the stem (92%) may delay damage to the cell. *G. officinale* could be considered as particularly resistant to dehydration given the capacity of its leaves to maintain cell turgor and therefore metabolic activity during dehydration.

*G. fragrans* and *C. spinosum* were fairly similar regarding the parameters related to water management (stomatal density,  $H_v$  and  $g_s$ ) and drought tolerance (the parameters derived from the P-V curves). The most remarkable differences between the two species concerned stem water storage (Figure 6, Supplementary Materials Table S3). *G. fragrans* has a lower stem density than *C. spinosum* and other tree seedlings of the Mexican dry forest [48]. The wood of its stem contains vessels with a large lumen and thin cell walls, and a high proportion of parenchyma, in which most of the water could be stored. Its greater capacity to store water makes it better equipped to buffer xylem water deficits, mitigate negative effects of soil desiccation [68] and increase its transpiration rate [69]. Our findings are consistent with studies showing that species with contrasting strategies and different combinations of drought tolerance traits can coexist in the same conditions of water deficit [70].

$\Psi_{TLP}$ , not  $P_{50}$ , determined HSM in the Caribbean TDF seedlings we studied, in contrast with previous findings on adult TDF trees [43]. In tropical forests, fast-growing plants tend to have a higher risk of embolism with lower HSM compared with slow-growing plants [47].

Our results showed an opposite trend. *C. spinosum* and *G. fragrans*, the fastest-growing species, exhibited HSM values among the widest of 300 TDF species documented [43], whereas *G. officinale*, the slowest-growing species, had a narrower HSM.

Most of the studies aiming to characterise ecological strategies focus on the adult stage, as recommended in standard protocols [55]. Shifting the focus to the seedling stage, albeit on a small number of species, as is the case here, reveals distinctive, unexpected patterns and emphasises the need to take these early stages in consideration when examining the strategies adopted by species in the face of drought.

Interspecific differences in RGR in well-watered conditions were significantly correlated with the parameters of the P-V curves. This suggests that maintaining a low osmotic potential represents a high energetic cost for TDF seedlings, and that slow-growing species are less sensitive to variations in water availability. However, the limited HSM of *G. officinale* revealed the vulnerability of this species, in which seedling survival could be affected by severe droughts. *C. spinosum* appeared to perform remarkably well and to be less vulnerable to drought. This species is highly recommendable in restoration projects. *G. fragrans*, despite its more modest performance, is fairly well protected against hydraulic failure during droughts and develops sufficiently fast to be compatible with TDF restoration programmes.

## 5. Conclusions

We present here the first experimental study focusing on the link between whole plant performances and functional traits in Caribbean TDF seedlings. Our results provide valuable new information on the growth and drought response of three tree species in their establishment phase. By analysing a combination of functional traits that is rarely reported, we uncovered novel information regarding the resource-use strategies of these species.

In the case of *G. officinale*, its slow growth (conservative strategy) is associated with substantial carbon costs (respiration, osmoticum production) and a greater capacity to resist drought—it needs and uses less soil water (slow leaf development) and has greater tolerance to leaf dehydration (low  $\Psi_{TLP}$ ), which is consistent with its evergreen phenology. However, its narrow TLP-based HSM indicates that it operates close to its point of hydraulic failure, making it particularly vulnerable to severe droughts. This vulnerability must be taken into account in conservation programmes targeting this endangered species. In contrast, *C. spinosum* is characterised by a fast growth rate (acquisitive strategy). Opportunistic growth during the rainy season could be associated with leaf cells that become quickly flaccid during dry conditions (high  $\Psi_{TLP}$ ) and are shed when these dry conditions prevail, in keeping with the deciduous leaf phenology of this species. Its wide TLP-based HSM indicates a safe hydraulic strategy, making this species particularly interesting for extensive use in restoration programmes. *G. fragrans*, even though its growth is not quite as dynamic as that of *C. spinosum*, also enjoyed a wide HSM, making this species a relevant candidate for such uses.

Despite their distinct growth strategies, which were positively correlated with the capacity to quickly develop leaves, increase root mass fraction and ensure a high whole-plant C assimilation, all three species exhibited similar high xylem tolerance to embolism ( $P_{50}$ :  $-4$  MPa). We found evidence of variation in drought resistance and water-use strategies among species spanning a wide range of conservative-acquisitive carbon use. Indeed, their water-use strategies were strongly influenced by hydraulic safety margin and root mass fraction, which had knock-on effects for whole-plant carbon assimilation.

Even though further investigations encompassing a larger number of species are required to confirm our observations, this preliminary approach associating growth analysis and two methods of desiccation in the laboratory applied to leaves and stems appears relevant for clarifying ecological strategies. The study of functional and morphological traits at different plant levels is therefore necessary to understand mechanisms underlying seedling drought responses and to identify the species most resistant to drought in the current context of climate change.

**Supplementary Materials:** The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/f14040697/s1>. Table S1: Growth, carbon allocation and traits of carbon acquisition in seedlings of *C. spinosum*, *G. officinale* and *G. fragrans*. For each trait, different alphabetic designations indicate significant differences between means  $\pm$ SE values ( $p < 0.05$ ); Table S2: Correlation matrix (Pearson coefficient) between relative growth rate and a number of leaf traits (1st table), and between relative growth rate, 50 percent of loss conductance ( $P_{50}$ ) and P-V curve parameters (2nd table).  $LA_{tot}$  is the total leaf area of a single individual plant, and  $A_{tot}$  is the total assimilation rate for a plant at light saturation. The meanings of the other abbreviations (LIR, SLA, LA, RGR, LMF,  $\pi_o$ ,  $\Psi_{TLP}$ ,  $\epsilon$  and  $P_{50}$ ) can be found in Table 1. The numbers in the matrices are the respective  $R^2$  values, indicated in bold when the correlation is significant (\*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$ ); Table S3: Plant characteristics with respect to water relations: wood, stomatal and hydraulic traits and pressure–volume parameters. Parameters derived from the pressure–volume curves:  $\pi_o$  (osmotic potential at full turgor),  $\Psi_{TLP}$  (water potential at turgor loss point), RWCTLP (relative water content at turgor loss point) and  $\epsilon$  (elasticity modulus). Parameters estimated from the function fitted to the vulnerability curves:  $s$  (slope of sigmoid model for vulnerability curve),  $P_{50}$  (the water potential at 50% cavitation) and  $R^2$  (correlation coefficient of the fit). For each trait, different alphabetic designations indicate significant differences between mean  $\pm$  SE values ( $p < 0.05$ ); Table S4: dataset.

**Author Contributions:** Conceptualization, E.M. and M.D.; data curation, E.M.; formal analysis, E.M.; funding acquisition, E.M. and M.D.; investigation, E.M.; methodology, E.M. and M.D.; project administration, M.D.; resources, M.D.; supervision, M.D.; validation, H.C. and M.D.; visualization, E.M.; writing—original draft, E.M.; writing—review and editing, H.C., A.E. and M.D. All authors have read and agreed to the published version of the manuscript.

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**Data Availability Statement:** The data supporting the results reported can be found in the Supplementary Materials.

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